

STATE OF NORTH CAROLINA
North Carolina Office of Recovery and Resiliency (NCORR)

**Request for Prequalification
for Residential Asbestos Abatement Services
and Environmental Clearance Services**
Prime Contractors for Abatement and Clearance Services

Request for Qualification #19-RFPQ-00010-DAD

Date of Issue: January 5, 2024

Applications Accepted on an Ongoing Basis

Email Completed Application to: Construction@ReBuild.NC.Gov

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PURPOSE

The North Carolina Office of Recovery and Resiliency (NCORR), a division of the North Carolina Department of Public Safety (DPS), is seeking to develop and maintain a list of prequalified prime construction contractors to perform, or cause to be performed: residential environmental clearance services and residential environmental remediation of asbestos in connection with the administration of U.S. Department of Housing and Urban Development (“HUD”) and Community Development Block Grant-Disaster Recovery (“CDBG-DR”) of eligible structures through NCORR recovery programs.

NCORR intends to establish a List of Qualified Asbestos Abatement Vendors who, on an “as, if, and when requested” basis, may be asked to compete, to enter into a Contract(s) for provision of environmental remediation services for the CDBG-DR funded Housing program managed by NCORR. At times, there may be a need to proceed with awarding a contract without the use of the competitive process. This process is known as assignment. The Program Delivery Office (PDO) will utilize the prequalified list for assignment work. A project or set of projects with a total or aggregate total under \$250,000 may be assigned to a General Contractor. Project assignments are based upon General Contractor scorecards, capacity, and location. The Program Delivery Office will evaluate all these factors to properly and fairly assign projects in the best interest of the state. Additionally, NCORR may elect to issue a Request for Bid (RFB) to the vendors on the prequalified list to solicit offers.

This **Prequalified Asbestos Abatement List is not a contract**. The individual Request for Bid (“RFB”) or Assignment Contract (“Assignment”) will be the contract.

General Contractors that submit an Application to be added to the Asbestos Abatement Prequalified List will be expected to accept the terms and conditions of the Contract. By submitting this Application, the Vendor acknowledges they have reviewed the sample Request for Bid (RFB) and Assignment Contract, including description of services (scope of work) and the terms and conditions, located at <https://www.rebuild.nc.gov/asbestos-prequal>.

METHOD OF ADDITION TO PREQUALIFICATION LIST

There is no limit on the number of General Contractors (GCs) to be added to the Asbestos Abatement Prequalified List. The process will be open, in that, it will be publicly posted to allow additional GCs the opportunity to be added to the List.

NCORR shall review the applications to confirm that they meet the requirements. For any GC which has previously been prequalified by the State pursuant to 19-RFP-014845-GSX and/or 19-RFPQ-00005-DAD, that GC will be waived into this Prequalified List subject to submission of all current licenses, unless that status was rescinded.

The State may request additional formal responses or submissions from any or all GCs for the purpose of clarification or to amplify the materials presented in any part of the Application. GCs are cautioned; however, the State is not required to request clarification, and often does not. Therefore, all **Applications should be complete**.

LICENSES REQUIRED

Vendors shall conduct Asbestos Abatement Activities for friable and/or non-friable materials in single family and/or multi-family residential homes in a manner compliant with all applicable laws and regulations, including but not limited to NC General Statutes [§130A-444 et seq.](#) (North Carolina accreditation, permitting, asbestos exposure in public areas, NC General Statutes [§130A-22\(b1\)-\(b2\)](#) (penalties), EPA [40 CFR Part 61, Subpart M](#) and North Carolina Admin. Code [10A NCAC 41C .0600 et seq.](#) (national emission standards and renovation/demolition requirements for asbestos), OSHA, [§1926.1101](#) (federal safety and health regulations regarding asbestos), United States Environmental Protection Agency, United States Department of Labor rules and regulations, and local or county ordinances.

All asbestos workers must have all proper certification for the type of asbestos work they are performing. Disturbing asbestos and paint or coated systems in pre-1978 housing requires a North Carolina certified lead renovation firm license and at least one (1) North Carolina certified lead renovator.

Vendor **must provide** a copy of each license listed below **with** its **Application**:

- Active North Carolina General Contractor (GC) License
- Active North Carolina Asbestos Abatement License
- Active North Carolina Certified Lead Renovation Firm License
- Active North Carolina Certified Lead Renovator License (at least 1 individual must be certified)

SURETY LETTER

GC **must** provide a letter, with the Application, from your surety company, signed by their **Attorney in Fact**, verifying their willingness to issue sufficient payment and performance bonds, on behalf of your firm and the dollar amounts of that bond commitment, both **single** and **aggregate**. Surety company bond rating shall be rated “A” or better under the A.M. Best Rating system or The Federal Treasury List.

Periodically throughout the prequalification period the Program Delivery Office (PDO) will require an updated surety letter. GC’s will be required to provide the surety letter to PDO within two (2) business days of receiving the request.

BONDING

Upon award of contract, all projects will require 100% performance and payment **bonds**, individually, or as a whole, **after** issuance of the Work Order (WO) and prior to the **Notice to Proceed** (NTP). Failure to submit bonds within **5 calendar days** will result in default on the contract.

INSURANCE

For Contracts up to \$1,000,000 the below limits shall apply. **Submit** a copy of your **Certificate of Insurance** (COI), that complies with the below requirements, **with** the **Application**.

- i. **Worker’s Compensation** – The Vendor shall provide and maintain Worker’s Compensation Insurance, as may be required by the laws of North Carolina, as well as employer’s liability coverage, with minimum limits of **\$250,000**, covering all of Vendor’s employees who are

engaged in any work under the Contract in North Carolina. If any work is sub-Contracted, the Vendor shall require the sub-contractor to provide the same coverage for any of its employees engaged in any work under the Contract within the State.

- ii. **Commercial General Liability** – General Liability Coverage on a Comprehensive Broad Form on an occurrence basis in the minimum amount of **\$500,000** for Combined Single Limit. Defense costs shall be in excess of the limit of liability.
- iii. **Automobile** – Automobile Liability Insurance, to include liability coverage covering all owned, hired and non-owned vehicles, used within North Carolina in connection with the Contract. The minimum combined single limit shall be **\$250,000** bodily injury and property damage; **\$250,000** uninsured/under insured motorist; and **\$2,500** medical payment.

REGISTRATION REQUIRED – NC SECRETARY OF STATE, SAM

Vendor is required to have an “ACTIVE” North Carolina Secretary of State and an “ACTIVE” SAM registration.

HISTORICALLY UNDERUTILIZED BUSINESS (HUB) PARTICIPATION

Pursuant to North Carolina General Statute G.S. 143-48, it is State policy to encourage and promote the use of small, minority, physically handicapped, and women contractors in purchasing Goods and Services. NCORR has established a goal of meeting or exceeding a 10% HUB participation for each contract. As such, GCs will be expected for each contract awarded to have 10% HUB utilization. If the 10% HUB goal (not rounded) is not met, the GC will be required to provide PDO their Good Faith HUB Efforts. **Vendor HUB utilization will be documented with each contract by the Program Delivery Office and the information will factor into the GCs scorecard score.**

Information regarding HUB certification, and to locate HUB Vendors, is located at [NC DOA Historically Underutilized Businesses \(HUB\) Office - Minority, Women-Owned & Small Businesses](#).

UTILIZATION OF HUD SECTION 3 VENDORS

GCs shall comply with the provisions of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 USC 1701u, and implementing its regulations at [24 CFR Part 135 - Section 3 Regulations - HUD Exchange](#) and [Section 3 Contract Requirements \(24 CFR 135\)](#). As such, GCs will be expected to meet or exceed 10% of the total dollar amount or shall demonstrate best efforts on all construction contracts with a Section 3 business. **GC Section 3 utilization will be documented with each contract by the Program Delivery Office and the information will factor into the GCs scorecard score.**

ASSIGNMENT CONTRACT

At times, there may be a need to proceed with awarding a contract without the use of the competitive Request for Bid (RFB) process. This process is known as assignment. The Program Delivery Office will utilize the prequalified list for assignment work. A project or set of projects with a total or aggregate total under \$250,000 may be assigned to

a General Contractor. Project assignments are based upon General Contractor scorecards, capacity, and location. The Program Delivery Office (PDO) will evaluate all these factors to properly and fairly assign projects in the best interest of the state. The Program Delivery Office will email the Assignment to the selected Vendor who will either accept the assignment at the PDO determined cost or decline the assignment. The Vendor will have 48-hours to inform PDO if they accept the assignment, and to provide all required documentation. See *Assignment Contract* located at <https://www.rebuild.nc.gov/asbestos-prequal> for details.

ASSIGNMENT METHODOLOGY

NCORR will assign projects only to responsible contractors with adequate capacity. To evaluate capacity, contractors will be limited to a total number of projects based on the number of projects a general contractor has completed to date as well as their current scorecard score.

Contractors without a scorecard or less than 2 project completions may be assigned up to 7 total projects awarded or in progress at a time. Contractors with a scorecard of less than 75 will not be eligible to be assigned any projects. Contractors with 3 or more project completions and a scorecard of 75 or greater will be eligible to be assigned greater than 10 or more projects awarded or in progress at a time based on scorecard score, project completions, and total number or unfinished projects in their queue. NCORR reserves the right to change any, and all, methodology as determined in the best interest of the State.

Example: Total Notice to Proceed (NTPs) Within Period of Performance divided by Total Projects Awarded – if greater than or equal to 50% then eligible for more projects. GC may be awarded new projects if the updated algorithm does not equal less than 50%.

For instance: If a GC currently has 50 projects awarded to it, has accepted 30 NTPs and 27 are within the period of performance, then the ratio would be 54% and would be eligible to be awarded more projects. That GC could accept up to 4 more projects because the ratio would become 50% with four more projects (27 projects with NTP divided by 54 awarded projects). Deadlines for accepting NTPs will be addressed in each construction contract.

REQUEST FOR BID (RFB)

It is NCORR's sole discretion whether it is in the best interest of the State to issue a Request for Bid (RFB) to the prequalified Vendor list in lieu of issuing an assignment. The RFB will be issued to all Vendors on the prequalified list requesting offers by a specified due date and time. Offers from responsible Vendors will be evaluated and contract award will be made based on the determination which offer provides the best value to the State. See *Request for Bid* located at <https://www.rebuild.nc.gov/asbestos-prequal>.

TRAINING WITH PDO

Upon approval of a Vendor to the Prequalification List, PDO will contact the Vendor and schedule a required training session. The purpose of this training is to review the Salesforce application used as the system of record for all related tasks associated with a Contract, provide training on the various processes/requirements of the Program, and address any questions. This session must be completed prior to the issuance of an Assignment.

Sessions are held virtually through MS Teams or may be viewed at <https://drive.google.com/drive/folders/1XxpJV8WBPNcsdvZlW86SZMQtc5yT1qcd>.

PREQUALIFICATION LIST RESCISSION

Vendor may be removed from the Prequalified List because of poor performance, material breaches of contract, substantiated non-payments to subcontractors/valid payment bond claims, substantiated complaints from homeowners that are serious (e.g., violations of policies concerning alcohol, illegal drugs, possession of firearms, disrespectful behavior, theft of personal property, etc.), False Claim Act violations, and misrepresentation of experience, violations of permits, illegal disposal of hazardous materials, any major OSHA or several minor OSHA violations, significant injuries to any person during abatement caused by failure to follow required safety practices, failure of GC to respond to requests for information, failure of GC to respond to Assignments (accept or decline assignment) within 48-hours, failure of GC to respond to Request for Bids, and/or negative GC evaluations/scorecards.

PREQUALIFICATION APPLICATION CONTENTS

Vendor shall submit with the Application the following:

- Completed executed Application (ALL pages of this Application)
- Surety Letter
- Certificate of Insurance
- Active North Carolina General Contractor (GC) License
- Active North Carolina Asbestos Abatement License
- Active North Carolina Certified Lead Renovation Firm License
- Active North Carolina Certified Lead Renovator License (at least 1 individual must be certified)
- Vendors shall provide three (3) references for which your company has provided Services of similar size and scope to that proposed herein.

ATTACHMENT A: QUESTIONNAIRE

This form gathers information about the Vendors seeking to be added to the Prequalified List. **Completing this questionnaire does not guarantee being added to the List.** Evaluation of the submittal shall be performed by the prequalification committee. Vendors are cautioned it is their responsibility to ensure ALL information is provided with the Application and all information conforms to the requirements herein. **Any Application that is incomplete and/or does not meet requirements will be denied.**

SECTION 1. COMPANY INFORMATION

ORGANIZATION

Business Type (check box)

- Corporation Partnership Limited Liability Company Sole Proprietor Joint Venture

Indicate your North Carolina HUB Certification; if applicable (check only one box)

- B** (Black) **HA** (Hispanic) **AA** (Asian American) **AI** (American Indian)
 W (Female) **D** (Disabled) **SED** (Socially Economically Disadvantaged)

Ensure NC HUB certification is ACTIVE prior to certifying to the above question. Contact the NC HUB Office, [NC DOA: Historically Underutilized Businesses \(HUB\)](#), for certification assistance.

- Applied for North Carolina HUB certification; application pending.
 Not a HUB

Is your firm owned or controlled by a parent or any other organization? YES NO

If "YES", describe Ownership:

List all other names your firm has operated as for the past three (3) years:

Has your present company, its officers, owners, or agents ever been convicted of charges relating to conflicts of interest, bribery, or bid-rigging? YES NO

If "YES", describe:

Has your present company, its officers, owners, or agents ever been barred from being awarded public work in North Carolina? YES NO

If "YES", describe:

SECTION 2. SURETY LETTER AND BONDING

BOND CAPACITY

Does your company have the ability to bond asbestos abatement, and lead remediation projects?

YES NO

If yes, **attach a current Surety Letter** and identify the **single** and **aggregate** dollar limits.

Single Dollar Limit \$ _____

Aggregate Dollar Limit \$ _____

SECTION 3. REGISTRATION

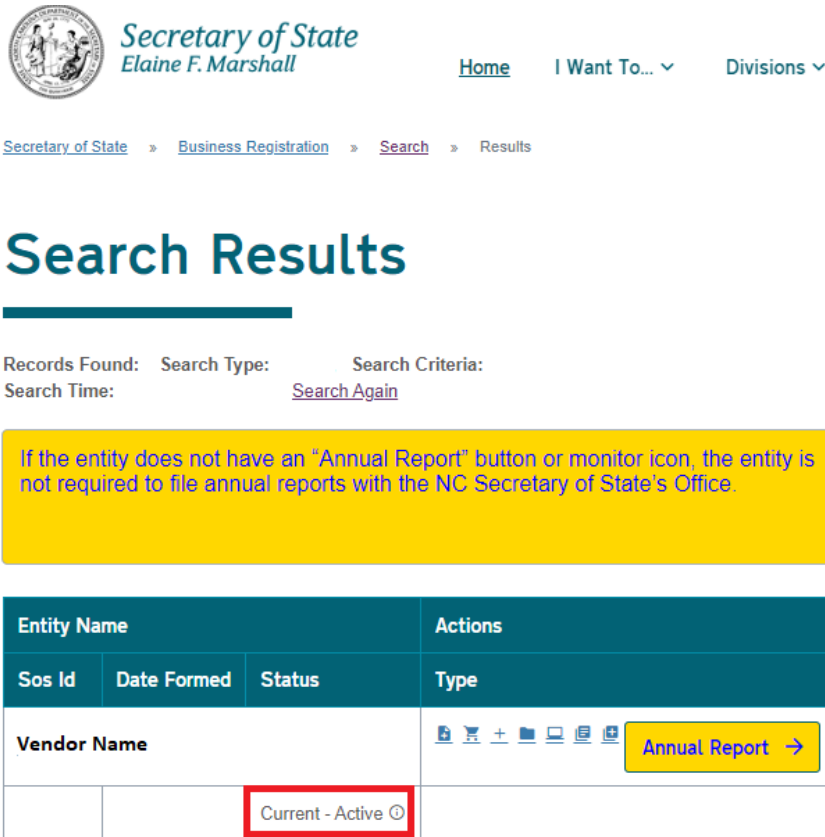
NORTH CAROLINA SECRETARY OF STATE

Is your firm registered with the State of North Carolina to do business? YES NO

Vendor MUST have a **CURRENT ACTIVE** Secretary of State status.

See Secretary of State website and confirm registration is **Current-Active** PRIOR to submitting this Application. https://www.sosnc.gov/online_services/search/by_title/Business_Registration

EXAMPLE of **“CURRENT ACTIVE”** status shown below.



Secretary of State
Elaine F. Marshall


Home I Want To... Divisions

Secretary of State > Business Registration > Search > Results

Search Results

Records Found: Search Type: Search Criteria:
Search Time: [Search Again](#)

If the entity does not have an "Annual Report" button or monitor icon, the entity is not required to file annual reports with the NC Secretary of State's Office.

Entity Name			Actions
Sos Id	Date Formed	Status	Type
Vendor Name			 Annual Report →
		Current - Active	

SAM

Does your firm have an **ACTIVE** SAM Registration? YES NO

Vendor MUST have an **“ACTIVE REGISTRATION”** SAM status.

“ID Assigned” or “INACTIVE” SAM status is NOT acceptable.

PRIOR to submitting this Application confirm SAM registration is “ACTIVE”.

EXAMPLE of “ACTIVE REGISTRATION” status shown below.

The screenshot shows the SAM.GOV website interface. At the top, there is a navigation bar with links for Requests, Notifications, Workspace, and Sign Out. Below this is a search bar with a dropdown menu set to 'All Words' and a search input field containing 'e.g. 1606N020Q02'. To the right of the search bar are buttons for 'Search Results', 'Saved Searches', and 'Actions'. Below the search bar, there is a sidebar on the left with 'Select Domain' and 'Entity Information' options. The main content area shows a search result for 'Showing 1 - 1 of 1 results'. The result is a table with columns for 'Unique Entity ID', 'CAGE Code', 'Physical Address', and 'Entity'. The 'Entity' column contains 'Expiration Date , 2024'. A red box highlights the 'Active Registration' status in the table header, and another red box highlights the 'Expiration Date , 2024' in the table row.

SAM Registration:

MUST register with SAM, <https://sam.gov>. SAM registration is FREE.

When registering select *“Register for All Awards”* and *“Include in Public Search”*.

For assistance with SAM registration contact **The Federal Service Desk** at **866-606-8220** or the **Live Chat** [GSAFSD Service Portal Landing - GSA Federal Service Desk Service Portal](#)

Video on **How to Register with SAM, [Entity Registration - Core Data – YouTube](#)**

SAM Resources, <https://www.rebuild.nc.gov/asbestos-prequal>

SECTION 4. LICENSE

NORTH CAROLINA GENERAL CONTRACTOR (GC)

Does your firm have an **ACTIVE** North Carolina General Contractor (GC) license? YES NO

North Carolina General Contractor **License Number**: _____

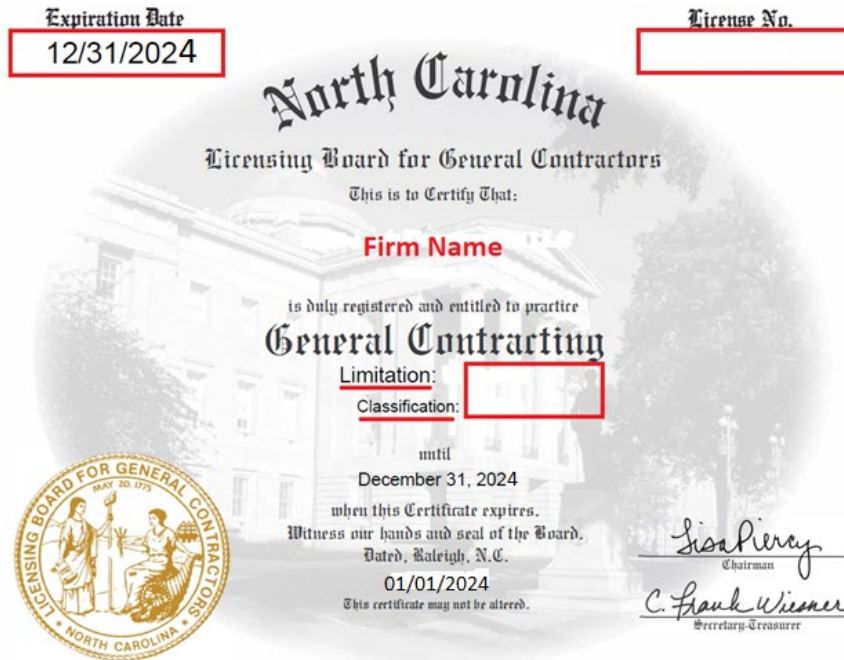
Firm Name on North Carolina General Contractor License: _____

Limitation on North Carolina General Contractor License: _____

Classification on North Carolina General Contractor License: _____

Provide copy of North Carolina **General Contractor license** with Application.

EXAMPLE of GC License shown below.



Has your General Contractor (GC) license ever been denied or revoked? YES NO

If "YES", describe:

NORTH CAROLINA ASBESTOS ABATEMENT LICENSE

Does your firm have an **ACTIVE** North Carolina Asbestos Abatement license? YES NO

North Carolina Asbestos Abatement License Number: _____

Firm Name on North Carolina Asbestos Abatement License: _____

Provide copy of North Carolina **Asbestos Abatement license** with Application.

Has your North Carolina Asbestos Abatement license ever been denied or revoked? YES NO

If "YES", describe:

NORTH CAROLINA CERTIFIED LEAD RENOVATION FIRM LICENSE

Does your firm have an **ACTIVE** North Carolina Lead Renovation **Firm** license? YES NO

North Carolina Lead Renovation **Firm** License Number: _____

Firm Name on Lead Renovation Firm License: _____

Provide copy of North Carolina **Lead Renovation Firm license** with Application.

Has your North Carolina Lead Renovation **Firm** license ever been denied or revoked? YES NO

If "YES", describe:

NORTH CAROLINA CERTIFIED LEAD RENOVATOR (person) LICENSE

Does your firm have at least one person with an ACTIVE North Carolina Certified Lead Renovator license?

YES NO

North Carolina Lead Renovator (person) License Number: _____

Person Name on Lead Renovator License: _____

Provide copy of North Carolina **Lead Renovation (person) license** with Application.

Has the North Carolina Lead Renovator (person) license ever been denied or revoked? YES NO

If "YES", describe:

SECTION 5. AREAS INTERESTED IN PROVIDING SERVICE

Select the North Carolina counties your company is interested in providing service.

North Carolina Statewide (**All Counties**)

By County: (check the applicable box)

- | | | | | | |
|-------------------------------------|-------------------------------------|-------------------------------------|---------------------------------------|--|--------------------------------------|
| <input type="checkbox"/> Alamance | <input type="checkbox"/> Alexander | <input type="checkbox"/> Alleghany | <input type="checkbox"/> Anson | <input type="checkbox"/> Ashe | <input type="checkbox"/> Avery |
| <input type="checkbox"/> Beaufort | <input type="checkbox"/> Bertie | <input type="checkbox"/> Bladen | <input type="checkbox"/> Brunswick | <input type="checkbox"/> Buncombe | <input type="checkbox"/> Burke |
| <input type="checkbox"/> Cabarrus | <input type="checkbox"/> Caldwell | <input type="checkbox"/> Camden | <input type="checkbox"/> Carteret | <input type="checkbox"/> Caswell | <input type="checkbox"/> Catawba |
| <input type="checkbox"/> Chatham | <input type="checkbox"/> Cherokee | <input type="checkbox"/> Chowan | <input type="checkbox"/> Clay | <input type="checkbox"/> Cleveland | <input type="checkbox"/> Columbus |
| <input type="checkbox"/> Craven | <input type="checkbox"/> Cumberland | <input type="checkbox"/> Currituck | <input type="checkbox"/> Dare | <input type="checkbox"/> Davidson | <input type="checkbox"/> Davie |
| <input type="checkbox"/> Duplin | <input type="checkbox"/> Durham | <input type="checkbox"/> Edgecombe | <input type="checkbox"/> Forsyth | <input type="checkbox"/> Franklin | <input type="checkbox"/> Gaston |
| <input type="checkbox"/> Gates | <input type="checkbox"/> Graham | <input type="checkbox"/> Granville | <input type="checkbox"/> Greene | <input type="checkbox"/> Guilford | <input type="checkbox"/> Halifax |
| <input type="checkbox"/> Harnett | <input type="checkbox"/> Haywood | <input type="checkbox"/> Henderson | <input type="checkbox"/> Herford | <input type="checkbox"/> Hoke | <input type="checkbox"/> Hyde |
| <input type="checkbox"/> Iredell | <input type="checkbox"/> Jackson | <input type="checkbox"/> Johnston | <input type="checkbox"/> Jones | <input type="checkbox"/> Lee | <input type="checkbox"/> Lenoir |
| <input type="checkbox"/> Lincoln | <input type="checkbox"/> McDowell | <input type="checkbox"/> Macon | <input type="checkbox"/> Madison | <input type="checkbox"/> Martin | <input type="checkbox"/> Mecklenburg |
| <input type="checkbox"/> Mitchell | <input type="checkbox"/> Montgomery | <input type="checkbox"/> Moore | <input type="checkbox"/> Nash | <input type="checkbox"/> New Hanover | <input type="checkbox"/> Northampton |
| <input type="checkbox"/> Onslow | <input type="checkbox"/> Orange | <input type="checkbox"/> Pamlico | <input type="checkbox"/> Pasquotank | <input type="checkbox"/> Pender | <input type="checkbox"/> Perquimans |
| <input type="checkbox"/> Person | <input type="checkbox"/> Pitt | <input type="checkbox"/> Polk | <input type="checkbox"/> Randolph | <input checked="" type="checkbox"/> Richmond | <input type="checkbox"/> Robeson |
| <input type="checkbox"/> Rockingham | <input type="checkbox"/> Rowan | <input type="checkbox"/> Rutherford | <input type="checkbox"/> Sampson | <input type="checkbox"/> Scotland | <input type="checkbox"/> Stanly |
| <input type="checkbox"/> Stokes | <input type="checkbox"/> Surry | <input type="checkbox"/> Swain | <input type="checkbox"/> Transylvania | <input type="checkbox"/> Tyrrell | <input type="checkbox"/> Union |
| <input type="checkbox"/> Vance | <input type="checkbox"/> Wake | <input type="checkbox"/> Warren | <input type="checkbox"/> Washington | <input type="checkbox"/> Watauga | <input type="checkbox"/> Wayne |
| <input type="checkbox"/> Wilkes | <input type="checkbox"/> Wilson | <input type="checkbox"/> Yadkin | <input type="checkbox"/> Yancey | | |



By executing this Request for Prequalification (“Application”), the Vendor acknowledges they have reviewed the sample Asbestos Abatement Request for Bid (RFB) and Assignment Contract, including the terms and conditions, located at [Asbestos Prequalification | ReBuild NC](#).

COMPLETE/FORMAL NAME OF VENDOR:	SAM.GOV UNIQUE ENTITY ID:
STREET ADDRESS:	OFFICE PHONE NUMBER:
CITY & STATE & ZIP CODE:	CELL PHONE NUMBER:
PRINT NAME & TITLE OF PERSON SIGNING ON BEHALF OF VENDOR:	EMAIL:
VENDOR’S AUTHORIZED SIGNATURE:	DATE:

FOR PROGRAM DELIVERY OFFICE (PDO) USE ONLY:

Request for Prequalification Application

Approved _____ (date)

Denied _____ (date)

Reason(s) Denied:

- Surety Letter**; not provided and/or Vendor does not have ability to bond projects.
- Certificate of Insurance**; not provided and/or does not meet minimum requirements.
- NC Secretary of State**; registration not Current-Active.
- SAM**; registration not Active.
- NC General Contractor License**; not Active and/or copy not provided with Application.
- NC Asbestos Abatement License**; not Active and/or copy not provided with Application.
- NC Lead Renovation Firm License**; not Active and/or copy not provided with Application.
- NC Lead Renovator (person) License**; not Active and/or copy not provided with Application.
- Three (3) references**; not provided and/or not similar size and scope proposed herein.

Application Reviewed (Approved/Denied) By: _____

PDO Signature