

CONTRACT AWARD
DSW Homes
19-IFB-533711144-DAD
Rehabilitation

Project Number	City	County	ECR Cost	ECR w/ Materials Multiplier (63.9%)	Lump Sum Price for Rehabilitation	Total Cost
1	Riegelwood	Columbus	\$13,009.66	\$ 21,322.83	\$ 24,521.26	\$ 24,521.26
2	Colerain	Bertie	\$30,583.01	\$ 50,125.55	\$ 57,644.39	\$ 57,644.39
3	Kill Devil Hills	Dare	\$16,019.58	\$ 26,256.09	\$ 30,194.51	\$ 30,194.51
4	Wallace	Duplin	\$21,097.29	\$ 34,578.46	\$ 57,015.23	\$ 57,015.23
5	Plymouth	Washington	\$14,017.26	\$ 22,974.29	\$ 32,745.43	\$ 32,745.43
6	Hope Mills	Cumberland	\$11,513.42	\$ 18,870.50	\$ 21,701.07	\$ 21,701.07
7	Jacksonville	Onslow	\$34,502.87	\$ 56,550.20	\$ 95,507.73	\$ 95,507.73
8	Fayetteville	Cumberland	\$10,066.14	\$ 16,498.40	\$ 22,998.16	\$ 22,998.16
9	Wilmington	New Hanover	\$9,753.07	\$ 15,985.28	\$ 39,658.07	\$ 39,658.07
10	lumberton	Robeson	\$27,898.45	\$ 45,725.56	\$ 69,834.39	\$ 69,834.39
11	Rocky Point	Pender	\$21,121.12	\$ 34,617.52	\$ 43,835.14	\$ 43,835.14
12	Wadesboro	Anson	\$28,715.19	\$ 47,064.20	\$ 77,698.83	\$ 77,698.83
13	Princeville	Edgecombe	\$10,422.29	\$ 17,082.13	\$ 19,644.45	\$ 19,644.45
14	Lillington	Harnett	\$22,368.05	\$ 36,661.23	\$ 53,660.42	\$ 53,660.42
15	Rocky Mount	Nash	\$16,201.93	\$ 26,554.96	\$ 34,563.21	\$ 34,563.21
16	Garner	Wake	\$14,018.95	\$ 22,977.06	\$ 53,448.62	\$ 53,448.62
17	Tarboro	Edgecombe	\$15,821.50	\$ 25,931.44	\$ 29,821.15	\$ 29,821.15
18	Wilmington	New Hanover	\$10,810.27	\$ 17,718.03	\$ 32,220.74	\$ 32,220.74
19	Wilmington	New Hanover	\$11,030.24	\$ 18,078.56	\$ 28,265.35	\$ 28,265.35
20	Wilmington	New Hanover	\$10,656.32	\$ 17,465.71	\$ 28,710.56	\$ 28,710.56
21	Atkinson	Pender	\$14,687.82	\$ 24,073.34	\$ 50,109.34	\$ 50,109.34
22	Williamston	Martin	\$28,291.50	\$ 46,369.77	\$ 59,650.23	\$ 59,650.23
23	Teachey	Duplin	\$12,996.36	\$ 21,301.03	\$ 31,396.19	\$ 31,396.19
24	Wilmington	New Hanover	\$20,079.55	\$ 32,910.38	\$ 63,721.94	\$ 63,721.94
26	Windsor	Bertie	\$9,883.66	\$ 16,199.32	\$ 18,629.22	\$ 18,629.22
27	Tarboro	Edgecombe	\$26,052.87	\$ 42,700.65	\$ 49,105.75	\$ 49,105.75
28	Burgaw	Pender	\$48,339.87	\$ 79,229.05	\$ 97,438.40	\$ 97,438.40
29	Wilmington	New Hanover	\$28,355.73	\$ 46,475.04	\$ 53,446.30	\$ 53,446.30
30	Clarkton	Bladen	\$8,577.66	\$ 14,058.78	\$ 16,167.60	\$ 16,167.60
31	Wilmington	New Hanover	\$42,676.95	\$ 69,947.52	\$ 80,439.65	\$ 80,439.65

Project Number	City	County	ECR Cost	ECR w/ Materials Multiplier (63.9%)	Lump Sum Price for Rehabilitation	Total Cost
32	Wilmington	New Hanover	\$10,735.98	\$ 17,596.27	\$ 20,235.71	\$ 20,235.71
33	Jacksonville	Onslow	\$61,385.95	\$ 100,611.57	\$ 115,703.31	\$ 115,703.31
34	Havelock	Craven	\$11,368.46	\$ 18,632.91	\$ 21,427.84	\$ 21,427.84
35	Jacksonville	Onslow	\$11,106.27	\$ 18,203.18	\$ 20,933.65	\$ 20,933.65
36	Jacksonville	Onslow	\$12,426.93	\$ 20,367.74	\$ 23,422.90	\$ 23,422.90
37	Jacksonville	Onslow	\$20,814.53	\$ 34,115.01	\$ 39,232.27	\$ 39,232.27
38	windsor	Bertie	\$9,915.39	\$ 16,251.32	\$ 18,689.02	\$ 18,689.02
39	Burgaw	Pender	\$30,306.40	\$ 49,672.19	\$ 57,123.02	\$ 57,123.02
40	Jacksonville	Onslow	\$12,530.78	\$ 20,537.95	\$ 23,618.64	\$ 23,618.64
41	CHINQUAPIN	Duplin	\$13,738.38	\$ 22,517.20	\$ 25,894.79	\$ 25,894.79
42	Jacksonville	Onslow	\$29,911.93	\$ 49,025.65	\$ 73,629.50	\$ 73,629.50
43	windsor	Bertie	\$10,807.84	\$ 17,714.05	\$ 20,371.16	\$ 20,371.16
44	Wilmington	New Hanover	\$49,424.46	\$ 81,006.69	\$ 93,157.69	\$ 93,157.69
45	Winnabow	Brunswick	\$21,887.38	\$ 35,873.42	\$ 41,254.43	\$ 41,254.43
46	Burgaw	Pender	\$11,359.27	\$ 18,617.84	\$ 21,410.52	\$ 21,410.52
47	Jacksonville	Onslow	\$28,125.40	\$ 46,097.53	\$ 53,012.16	\$ 53,012.16
48	Currie	Pender	\$13,959.59	\$ 22,879.77	\$ 26,311.73	\$ 26,311.73
49	Maple Hill	Pender	\$18,514.52	\$ 30,345.30	\$ 34,897.09	\$ 34,897.09
50	Shannon	Hoke	\$9,293.83	\$ 15,232.59	\$ 17,517.48	\$ 17,517.48
51	Kenansville	Duplin	\$26,240.54	\$ 43,008.25	\$ 49,459.48	\$ 49,459.48
52	Windsor	Bertie	\$9,625.33	\$ 15,775.92	\$ 18,142.30	\$ 18,142.30
53	Jacksonville	Onslow	\$9,180.50	\$ 15,046.84	\$ 17,303.87	\$ 17,303.87
54	Kinston	Lenoir	\$22,140.70	\$ 36,288.61	\$ 41,731.90	\$ 41,731.90
55	Red Springs	Robeson	\$11,619.84	\$ 19,044.92	\$ 21,901.66	\$ 21,901.66
56	Goldsboro	Wayne	\$10,128.66	\$ 16,600.87	\$ 19,091.00	\$ 19,091.00
57	Rocky Point	Pender	\$6,235.68	\$ 10,220.28	\$ 11,753.32	\$ 11,753.32
58	Lumberton	Robeson	\$9,212.06	\$ 15,098.57	\$ 17,363.35	\$ 17,363.35
59	Dover	Craven	\$19,028.56	\$ 31,187.81	\$ 35,865.98	\$ 35,865.98
60	Bladenboro	Bladen	\$16,993.77	\$ 27,852.79	\$ 32,030.71	\$ 32,030.71
61	Elizabethtown	Bladen	\$13,847.62	\$ 22,696.25	\$ 26,100.69	\$ 26,100.69
62	Watha	Pender	\$17,626.66	\$ 28,890.10	\$ 33,223.61	\$ 33,223.61
63	Council	Bladen	\$37,947.75	\$ 62,196.36	\$ 71,525.82	\$ 71,525.82
64	Goldsboro	Wayne	\$27,741.85	\$ 45,468.89	\$ 52,289.23	\$ 52,289.23
65	Whiteville	Columbus	\$16,419.47	\$ 26,911.51	\$ 30,948.24	\$ 30,948.24

Project Number	City	County	ECR Cost	ECR w/ Materials Multiplier (63.9%)	Lump Sum Price for Rehabilitation	Total Cost
66	Willard	Pender	\$16,043.76	\$ 26,295.72	\$ 30,240.08	\$ 30,240.08
67	Leland	Brunswick	\$37,016.49	\$ 60,670.03	\$ 69,770.53	\$ 69,770.53
68	Maxton	Robeson	\$6,548.00	\$ 10,732.17	\$ 12,342.00	\$ 12,342.00
69	Currie	Pender	\$14,988.45	\$ 24,566.07	\$ 34,000.98	\$ 34,000.98
70	Riegelwood	Bladen	\$29,418.71	\$ 48,217.27	\$ 66,949.86	\$ 66,949.86
71	Southport	Brunswick	\$18,456.69	\$ 30,250.51	\$ 34,788.09	\$ 34,788.09
72	Tarboro	Edgecombe	\$17,532.23	\$ 28,735.32	\$ 37,070.62	\$ 37,070.62
73	Morehead City	Carteret	\$8,342.34	\$ 13,673.10	\$ 23,774.06	\$ 23,774.06
74	Windsor	Bertie	\$33,182.91	\$ 54,386.79	\$ 62,544.81	\$ 62,544.81
75	Bladenboro	Bladen	\$6,271.41	\$ 10,278.84	\$ 11,820.67	\$ 11,820.67
76	Bayboro	Pamlico	\$14,904.66	\$ 24,428.74	\$ 55,118.05	\$ 55,118.05
77	Wilmington	New Hanover	\$39,412.90	\$ 64,597.74	\$ 74,287.40	\$ 74,287.40
78	Princeville	Edgecombe	\$17,596.28	\$ 28,840.30	\$ 41,791.35	\$ 41,791.35
79	Warrenton	Warren	\$7,300.18	\$ 11,965.00	\$ 13,759.74	\$ 13,759.74
80	Riegelwood	Bladen	\$6,396.54	\$ 10,483.93	\$ 12,056.52	\$ 12,056.52
82	KINSTON	Jones	\$6,915.12	\$ 11,333.88	\$ 17,058.96	\$ 17,058.96
83	Okracoke	Hyde	\$1,986.03	\$ 3,255.10	\$ 7,768.37	\$ 7,768.37
84	Burgaw	Pender	\$11,322.84	\$ 18,558.13	\$ 25,467.80	\$ 25,467.80
TOTAL						\$ 3,230,977.29



STATE OF NORTH CAROLINA Office of Recovery and Resiliency (NCORR)

Refer <u>ALL</u> Inquiries regarding this IFB: Via the Message Board of the Sourcing Tool	Invitation for Bids # 19-IFB-533711144-DAD Bids will be opened: November 2, 2022 at 2:00 PM ET
Using Agency: NCORR	Commodity No.: 721110 Single family dwelling construction services (UNSPSC)

EXECUTION

In compliance with this Invitation for Bid (IFB), and subject to all the conditions herein, the undersigned Vendor offers and agrees to furnish and deliver any or all items upon which prices are bid, at the prices set opposite each item within the time specified herein.

By executing this bid, the undersigned Vendor understands that false certification is a Class I felony and certifies that:

- this bid is submitted competitively and without collusion (G.S. 143-54),
- none of its officers, directors, or owners of an unincorporated business entity has been convicted of any violations of Chapter 78A of the General Statutes, the Securities Act of 1933, or the Securities Exchange Act of 1934 (G.S. 143-59.2), and
- it is not an ineligible Vendor as set forth in G.S. 143-59.1.

Furthermore, by executing this bid, the undersigned certifies to the best of Vendor's knowledge and belief, that:

- it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal or State department or agency.
- it has the financial capacity to perform and to continue to perform its obligations under the Contract; that Vendor has no constructive or actual knowledge of an actual or potential legal proceeding being brought against Vendor that could materially adversely affect performance of this Contract; and that entering into this Contract is not prohibited by any contract, or order by any court of competent jurisdiction.

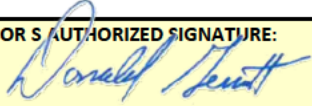
As required by G.S. 143-48.5, the undersigned Vendor certifies that it, and each of its sub-Contractors for any Contract awarded as a result of this IFB, complies with the requirements of Article 2 of Chapter 64 of the NC General Statutes, including the requirement for each employer with more than 25 employees in North Carolina to verify the work authorization of its employees through the federal E-Verify system.

G.S. 133-32 and Executive Order 24 (2009) prohibit the offer to, or acceptance by, any State Employee associated with the preparing plans, specifications, estimates for public contracts; or awarding or administering public contracts; or inspecting or supervising delivery of the public contract of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of this response to the IFB, the undersigned certifies, for Vendor's entire organization and its employees or agents, that Vendor is not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

By executing this bid, Vendor certifies that it has read and agreed to the INSTRUCTION TO VENDORS and the NORTH CAROLINA GENERAL TERMS AND CONDITIONS.

This procurement complies with the State's own procurement laws, rules and procedures per 2 CFR § 200.317.

Failure to execute/sign bid prior to submittal may render bid invalid and it MAY BE REJECTED. Late bids cannot be accepted.

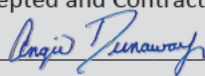
COMPLETE/FORMAL NAME OF VENDOR: DSW Homes, LLC	SAM.GOV UNIQUE ENTITY ID: Vendor MUST register with SAM, https://sam.gov	
STREET ADDRESS: 1650 E Winding Way Dr., Bldg. B	P.O. BOX:	ZIP:
CITY & STATE & ZIP: Friendswood, TX 77546	TELEPHONE NUMBER: (409) 744-3400	TOLL FREE TEL. NO:
PRINCIPAL PLACE OF BUSINESS ADDRESS IF DIFFERENT FROM ABOVE:		
PRINT NAME & TITLE OF PERSON SIGNING ON BEHALF OF VENDOR: Donald Gerratt, CEO	FAX NUMBER: (409) 744-3404	
VENDOR'S AUTHORIZED SIGNATURE: 	DATE: 11/02/22	EMAIL: ashley.byrd@dswhomes.com

VALIDITY PERIOD

Offer shall be valid for at least 60 days from date of bid opening, unless otherwise stated here: _____ days, or if extended by mutual agreement of the parties. Any withdrawal of this offer shall be made in writing, effective upon receipt by the agency issuing this IFB.

ACCEPTANCE OF BID

If your bid is accepted, all provisions of this IFB, along with the written results of any negotiations, shall constitute the written agreement between the parties ("Contract"). The NORTH CAROLINA GENERAL TERMS AND CONDITIONS are incorporated herein and shall apply.

FOR STATE USE ONLY: Offer accepted and Contract awarded this 4th day of November, 2022, as indicated on the attached certification, by 
(Authorized Representative of the Department of Public Safety).



INVITATION FOR BID

State of North Carolina
Department of Public Safety Office of
Recovery and Resiliency (NCORR)

Bid #: 19-IFB-533711144-DAD
RESIDENTIAL CONSTRUCTION
SERVICES
Residential Construction Services
Rehabilitation

Date of Issue: Oct. 20, 2022
Bid Opening Date: Nov. 2, 2022

Where to Deliver Submission

eProcurement Sourcing Tool
Angie Dunaway
NCORR Chief Procurement Officer
angie.dunaway@ncdps.gov
919-609-0937

ORIGINAL

Submitted by:
DSW

Headquarters: 1650 E Winding Way Dr., Bldg. B. Friendswood, TX 77546

Local: 1313 Commerce Dr., New Bern, NC 28563

Contact: Ashley Byrd, Business Development
(409) 939-6704 | ashley.byrd@dswhomes.com

TABLE OF CONTENTS



Table of contentsi
Cover pageii
Execution page2
Attachment A: Pricing23
Attachment B: Instructions27
Attachment C: General Terms31
Attachment D: General Conditions43
Attachment E: HUB54
Attachment F: Location of Workers56
Attachment G: GC Questionnaire58
Attachment H: HUB Plan61
Attachment I: Section 3 Utilization63
Attachment J: SAM.gov Registration65
Company profile68
Experience70
License78
Bonding Capacity80
Certificate of Insurance83
Financials85

COVER LETTER

November 2, 2022

State of North Carolina
Department of Public Safety - Office of Recovery and Resiliency (NCORR)
Residential Construction Services
Renovation and Renovation plus Elevation

RE: 19-IFB-533711144-DAD

To Whom It May Concern:

DSW was established November 2009 and has been working in the residential disaster recovery industry since its inception 12 years ago. From residential elevation, reconstruction, rehabilitation, emergency management services to administrative management, DSW has worked a variety of roles in the disaster recovery industry.

DSW has more than 300 years of combined experience in disaster recovery. It is our pleasure to prepare the following response to demonstrate our capability and desire to assist the State of North Carolina's Department of Public Safety - Office of Resiliency (NCORR) residential construction services. DSW has extensive experience in federally-funded projects and programs that involve rehabilitation and elevation services. We are qualified and prepared to administer the desired services as a prime contractor as it relates to this scope of work.

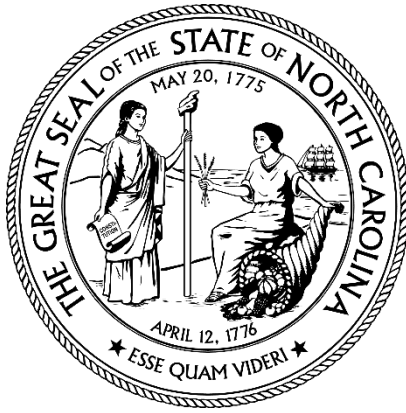
DSW has reviewed the State of North Carolina's requirements and is qualified and ready to assist. If you have any questions regarding DSW or this proposal, please reach out to me at (409) 338-6289.

We look forward to working together with you and your team.



Donald Gerratt/CEO
DSW LLC
1650 E Winding Way Dr. Bldg. B,
Friendswood, TX 77546
P: (409) 338-6289
F: (409) 744-3404
donald.gerratt@dswhomes.com
EIN [REDACTED]
DUNS 010-404-807





STATE OF NORTH CAROLINA

DEPARTMENT OF PUBLIC SAFETY

Office of Recovery and Resiliency (NCORR)

Invitation for Bid #: 19-IFB-533711144-DAD

Residential Construction Services

Rehabilitation

Date Issued: October 20, 2022

Bid Opening Date: November 2, 2022 at 2:00 PM ET

**Direct all inquiries concerning this IFB
via the Message Board of the eProcurement Sourcing Tool to:**

Angie Dunaway

NCORR Chief Procurement Officer

Email: angie.dunaway@ncdps.gov

Phone: 919-609-0937

Table of Contents

1.0	PURPOSE AND BACKGROUND	7
1.1	CONTRACT TERM	7
2.0	GENERAL INFORMATION	7
2.1	INVITATION FOR BIDS DOCUMENT	7
2.2	E-PROCUREMENT FEE.....	7
2.3	NOTICE TO VENDORS REGARDING IFB TERMS AND CONDITIONS.....	7
2.4	IFB SCHEDULE	8
2.5	PRE-BID CONFERENCE	8
2.6	BID QUESTIONS.....	9
2.7	BID SUBMITTAL.....	9
2.8	BID CONTENTS	10
2.9	DEFINITIONS, ACRONYMS, AND ABBREVIATIONS.....	11
2.10	CONTRACT MONITORING	12
3.0	METHOD OF AWARD AND BID EVALUATION PROCESS.....	13
3.1	METHOD OF AWARD.....	13
3.2	BID EVALUATION PROCESS.....	13
3.3	CONFIDENTIALITY AND PROHIBITED COMMUNICATIONS	13
3.4	BID EVALUATION PROCESS.....	14
3.5	PERFORMANCE OUTSIDE THE UNITED STATES.....	14
3.6	INTERPRETATION OF TERMS AND PHRASES	15
4.0	REQUIREMENTS.....	15
4.1	PRICING	15
4.2	SURETY LETTER	16
4.3	BONDS; PERFORMANCE AND PAYMENT	16
4.4	GENERAL CONTRACTOR LICENSE / QUESTIONNAIRE.....	16
4.5	INVOICES	16
4.6	FINANCIAL STABILITY	17
4.7	HUB PARTICIPATION	17
4.8	OPTIONAL BACKGROUND CHECKS	17
4.9	PERSONNEL.....	17
4.10	VENDOR'S REPRESENTATIONS.....	17
4.11	AGENCY INSURANCE REQUIREMENTS MODIFICATION.....	17
4.12	FEDERAL COVID-19 VACCINATION REQUIREMENT	18
4.13	SALES TAX.....	18
4.14	WORK ORDER TERM.....	18
5.0	SCOPE OF WORK.....	18
5.1	DESCRIPTION OF SERVICES AND REQUIREMENTS	18
5.2	REHABILITATION SCOPE OF WORK.....	19
5.3	ADDITIONAL REQUIREMENTS	20

6.0	CONTRACT ADMINISTRATION.....	22
6.1	PROJECT MANAGER AND CUSTOMER SERVICE	22
6.2	POST AWARD PROJECT REVIEW MEETINGS.....	22
6.3	ACCEPTANCE OF WORK	22
6.4	FAITHFUL PERFORMANCE.....	23
6.5	DISPUTE RESOLUTION	23
6.6	CONTRACT CHANGES	23
ATTACHMENT A: PRICING.....		24
ATTACHMENT B: INSTRUCTIONS TO VENDORS.....		25
ATTACHMENT C: NORTH CAROLINA GENERAL CONTRACT TERMS & CONDITIONS		28
ATTACHMENT D: NORTH CAROLINA CONSTRUCTION GENERAL CONDITIONS		39
ATTACHMENT E: HISTORICALLY UNDERUTILIZED BUSINESSES (HUB)		49
ATTACHMENT F: LOCATION OF WORKERS UTILIZED BY VENDOR		50
ATTACHMENT G: GENERAL CONTRACTOR (GC) QUESTIONNAIRE.....		51
ATTACHMENT H: HUB UTILIZATION PLAN		53
ATTACHMENT I: SECTION 3 UTILIZATION		54
ATTACHMENT J: SAM.GOV REGISTRATION.....		55

1.0 PURPOSE AND BACKGROUND

The North Carolina Office of Recovery and Resiliency (NCORR), a division of the North Carolina Department of Public Safety (DPS), is soliciting bids from prime construction contractors to perform, or cause to be performed: rehabilitation of eligible structures through NCORR recovery programs. See rebuild.nc.gov for the State's Action Plan(s) and Program Manual(s) defining these construction activities.

NCORR was established to receive and administer any grant awarded by the U.S. Department of Housing and Urban Development (HUD) to North Carolina for the purposes of recovering from a major disaster and mitigating future storm impact. NCORR manages all aspects of these grants, including planning and policy development, recovery program administration, compliance and monitoring, program financial management, and grant closeout. The programs that NCORR administers under the CDBG-DR and CDBG-MIT grants include, but are not limited to: single-family home repair, eligible repair reimbursement, rehabilitation, and reconstruction; repair and replacement of manufactured homes; repairs to public infrastructure; rehabilitation of public housing units; rehabilitation of multi-family rental housing units; buyouts and acquisition of flood-prone properties; and the small business recovery loan program.

1.1 CONTRACT TERM

The contract term is described as the completion and acceptance of all requirements within the scope of work.

Bids shall be submitted in accordance with the terms and conditions of this IFB and any addenda issued hereto.

2.0 GENERAL INFORMATION

2.1 INVITATION FOR BIDS DOCUMENT

The IFB is comprised of the base IFB document, any attachments, and any addenda released before Contract award. All attachments and addenda released for this IFB in advance of any Contract award are incorporated herein by reference.

2.2 E-PROCUREMENT FEE

ATTENTION: This is not an NC eProcurement solicitation. The E-Procurement fee does not apply to this solicitation. Paragraph entitled ELECTRONIC PROCUREMENT of the North Carolina General Terms and Conditions do not apply to this solicitation.

For training on how to use the Sourcing Tool to view solicitations, submit questions, develop responses, upload documents, and submit offers to the State, Vendors should go to the following site:

<http://eprocurement.nc.gov/training/vendor-training>.

2.3 NOTICE TO VENDORS REGARDING IFB TERMS AND CONDITIONS

It shall be the Vendor's responsibility to read the Instructions, the State's terms and conditions, all relevant exhibits and attachments, and any other components made a part of this IFB and comply with all requirements and specifications herein. Vendors are also responsible for obtaining and complying with all Addenda and other changes that may be issued concerning this IFB.

If Vendors have questions, issues, or exceptions regarding any term, condition, or other component of this IFB, those must be submitted as questions in accordance with the instructions in the BID QUESTIONS Section. If the State determines that any changes will be made as a result of the questions asked, then such decisions will be communicated in the form of an IFB addendum. The State may also elect to leave open the possibility for later

negotiation and amendment of specific provisions of the Contract that have been addressed during the question-and-answer period. Other than through this Q and A process or negotiation under 01 NCAC 05B .0503, the State rejects and will not be required to evaluate or consider any additional or modified terms and conditions submitted with Vendor’s bid or otherwise. This applies to any language appearing in or attached to the document as part of the Vendor’s bid that purports to vary any terms and conditions or Vendors’ instructions herein or to render the bid non-binding or subject to further negotiation. Vendor’s bid shall constitute a firm offer that shall be held open for the period required herein (“Validity Period” above).

By execution and delivery of this IFB Response, the Vendor agrees that any additional or modified terms and conditions, whether submitted purposely or inadvertently, shall have no force or effect, and will be disregarded. Noncompliance with, or any attempt to alter or delete, this paragraph shall constitute sufficient grounds to reject Vendor’s bid as nonresponsive. The State may exercise its discretion to consider Vendor proposed modifications.

2.4 IFB SCHEDULE

The table below shows the *intended* schedule for this IFB. The State will make every effort to adhere to this schedule.

Event	Responsibility	Date and Time
Issue IFB	State	October 20, 2022
Hold Pre-Bid Conference	State	October 25, 2022 at 10:00 am ET via TEAMS and Call In Number
Submit Written Questions	Vendor	October 26, 2022 by 10:00 am ET <i>Please attend the Pre-Bid Conference prior to submitting questions.</i>
Provide Responses to Questions (issue Addendum via Sourcing Tool)	State	October 27, 2022
Submit Bids (electronic via Sourcing Tool)	Vendor	November 2, 2022 by 2:00 pm ET
Contract Award	State	As soon as possible after bid opening.

2.5 PRE-BID CONFERENCE

Urged and Cautioned Pre-Bid Conference

Date: October 25, 2022

Time: 10:00 am Eastern Time

Location: Virtual via TEAMS

[Click here to join the meeting](#)

Call In # (Audio ONLY): (984) 204-1487

Phone Conference ID: 288 225 571#

Instructions: Vendor representatives are URGED and CAUTIONED to attend the pre-bid conference and apprise themselves of the conditions and requirements which will affect the performance of the work called for by this IFB. A non-mandatory pre-bid conference is scheduled for this IFB. Submission of a bid shall constitute sufficient evidence of this compliance and no allowance will be made for unreported conditions which a prudent Vendor would recognize as affecting the performance of the work called for in this IFB.

Vendor is cautioned that any information released to attendees during the pre-bid conference, and which conflicts with, supersedes, or adds to requirements in this IFB, must be confirmed by written addendum before it can be considered to be a part of this IFB and any resulting contract.

E-mail angie.dunaway@ncdps.gov by 1:00 pm ET on Monday, October 24, 2022 the name and email address of the individual(s) attending the pre-bid conference; and the company the individual is representing. As a courtesy, Angie will email these individuals a TEAMS invite to facilitate joining the meeting. Enter as the subject of the email message "Rehab Pre-Bid Conference Attendee". NOTE: In the event a courtesy TEAMS meeting invite is not received Vendors are reminded they can join the meeting by clicking on the above link, "Click here to join the meeting".

2.6 BID QUESTIONS

Upon review of the IFB documents, Vendors may have questions to clarify or interpret the IFB in order to submit the best bid possible. To accommodate the Bid Questions process, Vendors shall submit any such questions by the "Submit Written Questions" date and time provided in the IFB SCHEDULE Section above, unless modified by Addendum.

Questions related to the content of the solicitation, or the procurement process should be submitted via the Sourcing Tool's message board by the date and time specified in the IFB SCHEDULE Section of this IFB. Question submittals should include a reference to the applicable IFB section.

The questions should be submitted in the following format:

Citation	Vendor Question
IFB Section Number and Section Title	
IFB Page Number	

PLEASE attend the pre-bid conference prior to submitting questions.

Questions or issues related to using the Sourcing Tool itself must be directed to the North Carolina eProcurement Help Desk at 888-211-7440, Option 2. Help Desk representatives are available Monday through Friday from 7:30 AM ET to 5:00 PM ET.

Questions received prior to the submission deadline date and time, the State's response, and any additional terms deemed necessary by the State will be posted in the Sourcing Tool in the form of an addendum and shall become an Addendum to this IFB. No information, instruction or advice provided orally or informally by any State personnel, whether made in response to a question or otherwise in connection with this IFB, shall be considered authoritative or binding. Vendors shall rely *only* on written material contained in the IFB and an addendum to this IFB.

2.7 BID SUBMITTAL

IMPORTANT NOTE: This is an absolute requirement. Vendor shall bear the risk of late submission due to unintended or unanticipated delay. It is the Vendor's sole responsibility to ensure its bid has been received as described in this IFB by the specified time and date of opening. Failure to submit a bid in strict accordance with instructions provided shall constitute sufficient cause to reject a Vendor's bids(s). Solicitation responses are subject to Sealed Bidding requirements.

Offers for this procurement **must be submitted through the Sourcing Tool.** For training on how to use the Sourcing Tool to view solicitations, submit questions, develop responses, upload documents, and submit offers to the State, Vendors should go to the following site: <https://eprocurement.nc.gov/training/vendor-training>.

Questions or issues related to using the Sourcing Tool must be directed to the North Carolina **eProcurement Help Desk** at 888-211-7440, Option 2. Help Desk representatives are available Monday through Friday from 7:30 AM ET to 5:00 PM ET.

Tips for Using the Sourcing Tool:

- Vendors should review available training and confirm that they are able to access the Sourcing Event, enter responses, and upload files well in advance of the date and time response are due to allow sufficient time to seek assistance from the North Carolina eProcurement Help Desk.
- Vendors may submit their responses early to make sure there are no issues, and then submit a revised response any time prior to the response due date and time. The State will only review the most recent response.
- Vendors should respond to all relevant sections of the Sourcing Event. Certain questions or items are required in order to submit a response and are denoted with an asterisk. The Sourcing Tool will not allow a response to be submitted unless all required items are completed. The Sourcing Tool will provide error messages to help identify any required information that is missing when response is submitted.
- Simply saving your response in the Sourcing Tool is not the same as submitting your response to the State. Vendors should make sure they complete the submission process and receive a message that their response was successfully submitted.

The Sourcing Tool (Section 6, VENDOR RESPONSE) has two (2) fields to upload the **ENTIRE** (all pages) of the Invitation for Bid (IFB), which includes the **Vendor response** to all questions, completion of all attachments (including Attachment A: Pricing), and all required documentation.

- The first file upload field specifies to upload one (1) **signed UN-REDACTED** offer that contains **ALL pages of the IFB**, with ALL information completed. **Vendor must return all the pages of this solicitation with its offer. Offer must be submitted on the forms** provided herein. Prices and any other entry made hereon by the Vendor shall be considered firm and not subject to change.
- The second file upload field (optional for vendor to upload a file) specifies to upload one (1) **REDACTED** copy (all Proprietary and Confidential Information removed); **IF the vendor determines their offer contains confidential information.**

Redacting refers to the blacking out of information, so it is not visible.

The North Carolina Department of Public Safety, in responding to public records requests, will release the contents of the Redacted file provided by the Vendor. If no redacted file is provided the North Carolina Department of Public Safety will release the un-redacted version in response to public records requests. It is the sole responsibility of the Vendor to ensure that the Redacted file complies with the requirements of Paragraph 14, CONFIDENTIAL INFORMATION, of the INSTRUCTIONS TO VENDORS. **Under no circumstances shall price information be designated as confidential.**

2.8 BID CONTENTS

Vendors shall provide responses to all questions and complete all attachments for this IFB that require the Vendor to provide information and upload them to the Sourcing Event in the Sourcing Tool. Vendor may not be able to submit its response in the Sourcing Tool unless all required items are addressed. Vendors shall provide authorized

signatures where requested. Failure to provide all required items, or Vendor's submission of incomplete items, may result in the State rejecting Vendor's bid, in the State's sole discretion.

Vendors shall upload in Section 6, VENDOR RESPONSE, of the Sourcing Tool (in one document) the following items and attachments:

- a) **Letter from surety company stating your ability to bond all projects**
- b) If not currently a prequalified vendor under 19-RFP-014914-GSX copy of active General Contractor license and completion of ATTACHMENT G: GENERAL CONTRACTOR QUESTIONNAIRE. *Attach copy of GC License.*
- c) Completed and signed **EXECUTION PAGE**, and any Addenda released in conjunction with the IFB.
- d) Body of the IFB (*All pages of the IFB should be returned*)
- e) Completed ATTACHMENT A: **PRICING** (Excel file in the Sourcing Tool)
- f) ATTACHMENT B: INSTRUCTIONS TO VENDORS
- g) ATTACHMENT C: NORTH CAROLINA GENERAL TERMS AND CONDITIONS
- h) ATTACHMENT D: NORTH CAROLINA CONSTRUCTION GENERAL TERMS AND CONDITIONS
- i) Completed ATTACHMENT E: **Historically Underutilized Businesses (HUB)**
- j) Completed ATTACHMENT F: **Location of Workers Utilized by Vendor**
- k) Completed ATTACHMENT G: **General Contactor Questionnaire.** *Attach copy of GC License.*
- l) Completed ATTACHMENT H: **M/WBE/HUB Utilization Plan**
- m) Completed ATTACHMENT I: **Section 3 Utilization**
- n) Completed ATTACHMENT J: **SAM.GOV REGISTRATION**

2.9 DEFINITIONS, ACRONYMS, AND ABBREVIATIONS

- a) **ADA:** American with Disabilities Act of 1990
- b) **ASTM:** American Society of Testing and Materials
- c) **BAFO:** Best and Final Offer, submitted by a Vendor to alter its initial bid, made in response to a request by the issuing agency.
- d) **Bid Book:** The State has prepared and attached a Benchmark Bid Pricing Book that will be used for project execution of the award or assignment of projects.
- e) **BUYER:** The employee of the State or Other Eligible Entity that places an order with the Vendor.
- f) **CDBG:** Community Development Block Grant – Disaster Recovery Program
- g) **CONTRACT LEAD:** Representative of the Department of Public Safety/NCORR identified on the first page of this IFB who will correspond with potential Vendors concerning solicitation issues and will contract with the Vendor providing the best offer to the State, and is the individual who will administer The Contract for the State.
- h) **CONTRACTOR (GC):** A North Carolina licensed General Contractor
- i) **DPS:** Department of Public Safety
- j) **ECR:** Estimated Cost of Repair
- k) **ET:** Eastern Time.
- l) **HUD:** The United States Department of Housing and Urban Development

- m) **HRP:** Housing Recovery Program
- n) **IFB:** Invitation for Bids.
- o) **NCORR:** The North Carolina Department of Public Safety, Office of Recovery and Resiliency
- p) **NOTICE TO PROCEED:** Notice to Vendor to commence work to be performed under this agreement.
- q) **OPENING DATE:** Responses will only be accepted up until the specified time and date listed in the bid and then publicly opened. NO responses will be accepted after that time and date.
- r) **PRINCIPLE PLACE OF BUSINESS:** That principle place from which the overall trade or business of the Vendor is directed or managed.
- s) **QUALIFIED BID:** A responsive bid submitted by a responsible Vendor.
- t) **RFPQ:** Request for Prequalification 19-RFP-014914-GSX
- u) **SERVICES or SERVICE DELIVERABLES:** The tasks and duties undertaken by the Vendor to fulfill the requirements and specifications of this solicitation.
- v) **SHPO:** State Historic Preservation Office
- w) **SOURCING TOOL:** The North Carolina eProcurement System module that provides vendors the opportunity to submit proposals to the State electronically.
- x) **STATE:** The State of North Carolina, including any of its sub-units recognized under North Carolina law.
- y) **STATE AGENCY:** Any of the more than 400 sub-units within the executive branch of the State, including its departments, boards, commissions, institutions of higher education and other institutions.
- z) **THE CONTRACT:** A contract resulting from or arising out of Vendor responses to this solicitation document.
- aa) **WORK ORDER (WO):** Specific, written authorization to perform the task(s) listed therein.
- bb) **VENDOR:** Supplier, bidder, proposer, company, firm, corporation, partnership, individual or other entity submitting a response to an Invitation for Bids. Following award of a contract, the term refers to an entity receiving such an award.

2.10 CONTRACT MONITORING

Per *NC Senate Bill 1213 (Session Law 2010-194)* any contract which results from the award of this Invitation for Bid shall include contract monitoring as a regular process of evaluating post award Vendor contract performance based on measurable deliverables and verifying Vendor compliance with the terms and conditions in the contract.

The general purpose of monitoring will be to 1) improve Vendor contract performance through early identification of questions and issue resolution; 2) identify potential contract problems, financial or technical, that may require additional scrutiny; 3) evaluate Vendor contract performance controls to ensure there is a reliable basis for validating deliverables and minimizing risk of contract default; 4) assure that Vendor financial documentation is adequate and accurate as it relates to contract payments.

Specifically, contract monitoring may include but are not limited to the following areas;

- Verify contractor performance for purposes of payment;
- Identify material breach of contract by assessing the difference between contract performance and material non-performance;
- Determine if corrective action is necessary and take such action if required.

3.0 METHOD OF AWARD AND BID EVALUATION PROCESS

3.1 METHOD OF AWARD

This IFB will be awarded in accordance with State and Federal law. Prospective Vendors shall not be discriminated against on the basis of any prohibited grounds as defined by Federal and State law. North Carolina G.S. 143-52 provides a general list of criteria the State shall use to award contracts, as supplemented by the additional criteria herein. The Goods or Services being procured shall dictate the application and order of criteria; however, all award decisions shall be in the State's best interest.

All responsive bids from responsible bidders will be reviewed, and an **award** will be based on the responsive bid(s) offering the **lowest price per line item** that meets the specifications provided herein.

While the intent of this IFB is to make multiple awards based on low bid per line item; the State reserves the right to make a single award, not to award one or more line items, or to cancel this IFB in its entirety without awarding a Contract, if it is considered to be most advantageous to the State to do so.

3.2 BID EVALUATION PROCESS

- The State shall review the responses to this IFB to confirm that they meet the specifications and requirements. The State reserves the right to waive any minor informality or technicality.
- For all responses that pass the initial review process, the State will review and assess Vendors' pricing. The State may request additional formal responses or submissions from any or all Vendors for the purpose of clarification or to amplify the materials presented in the IFB. Vendors are cautioned, however, that the State is not required to request clarification, and often does not. Therefore, all responses should be complete and reflect the most favorable terms available from Vendor. Prices cannot be altered or modified as part of a clarification.
- The State reserves the right to contact references as well as any other known sources to verify Vendor's past performance. This information may be considered in making an award.
- Vendors are cautioned that this is a request for bid, not a request or an offer to contract, and the State reserves the unqualified right to reject any and all offers at any time if such rejection is deemed to be in the best interest of the State.

3.3 CONFIDENTIALITY AND PROHIBITED COMMUNICATIONS

From the issuance date of this IFB through the date the contract is awarded, each Vendor submitting a bid (including its representatives, sub-contractors and/or suppliers) is prohibited from having any communications with any person inside or outside the using agency, issuing agency, other government agency office, or body (including the purchaser named above, department secretary, agency head, members of the general assembly and/or governor's office), or private entity, if the communication refers to the content of Vendor's bid or qualifications, the contents of another Vendor's bid, another Vendor's qualifications or ability to perform the contract, and/or the transmittal of any other communication of information that could be reasonably considered to have the effect of directly or indirectly influencing the evaluation of bids and/or the award of the contract. A Vendor not in compliance with this provision shall be disqualified from contract award, unless it is determined in the State's discretion that the

communication was harmless, that it was made without intent to influence and that the best interest of the State would not be served by the disqualification. A Vendor's bid may be disqualified if its sub-contractor and supplier engage in any of the foregoing communications during the time that the procurement is active (i.e., the issuance date of the procurement to the date of contract award). Only those discussions, communications or transmittals of information authorized or initiated by the issuing agency for this IFB or general inquiries directed to the purchaser regarding requirements of the IFB (prior to bid submission) or the status of the contract award (after submission) are excepted from this provision.

3.4 BID EVALUATION PROCESS

Only responsive submissions will be evaluated.

The State will conduct an evaluation of responsive Bids, as follows:

Bids will be received according to the method stated in the Bid Submittal section above.

All bids must be received by the issuing agency not later than the date and time specified in the IFB SCHEDULE Section above, unless modified by Addendum. Vendors are cautioned that this is a request for offers, not an offer or request to contract, and the State reserves the unqualified right to reject any and all offers at any time if such rejection is deemed to be in the best interest of the State.

At the date and time provided in the IFB SCHEDULE Section above, unless modified by Addendum, the bids from each responding Vendor will be opened publicly and the name of the Vendor and total cost offered may be announced. Interested parties are cautioned that these costs and their components are subject to further evaluation for completeness and correctness and therefore may not be an exact indicator of a Vendor's pricing position.

If negotiation is anticipated under 01 NCAC 05B.0503, pricing may not be public until award.

At their option, the evaluators may request oral presentations or discussions with any or all Vendors for clarification or to amplify the materials presented in any part of the bid. Vendors are cautioned, however, that the evaluators are not required to request presentations or other clarification—and often do not. Therefore, all bids should be complete and reflect the most favorable terms available from the Vendor. Prices bid cannot be altered or modified as part of a clarification.

Upon completion of the evaluation process, the State will make Award(s) based on the evaluation and post the award(s) under the IFB number for this solicitation.

The State reserves the right to negotiate with one or more Vendors, or to reject all original offers and negotiate with one or more sources of supply that may be capable of satisfying the requirement, and in either case to require Vendor to submit a Best and Final Offer (BAFO) based on discussions and negotiations with the State.

3.5 PERFORMANCE OUTSIDE THE UNITED STATES

Vendor shall complete ATTACHMENT F: LOCATION OF WORKERS UTILIZED BY VENDOR. In addition to any other evaluation criteria identified in this IFB, the State may also consider—for purposes of evaluating proposed or actual contract performance outside of the United States and to ensure that any award will be in the best interest of the State—how that performance may affect or be affected by the following factors:

- a) Total cost to the State

- b) Level of quality provided by the Vendor
- c) Process and performance capability across multiple jurisdictions
- d) Protection of the State's information and intellectual property
- e) Availability of pertinent skills
- f) Ability to understand the State's business requirements and internal operational culture
- g) Particular risk factors such as the security of the State's information technology
- h) Relations with citizens and employees
- i) Contract enforcement jurisdictional issues

3.6 INTERPRETATION OF TERMS AND PHRASES

This IFB serves two functions: (1) to advise potential Vendors of the parameters of the solution being sought by the State; and (2) to provide (together with other specified documents) the terms of the Contract resulting from this procurement. The use of phrases such as "shall," "must," and "requirements" are intended to create enforceable contract conditions. In determining whether bids should be evaluated or rejected, the State will take into consideration the degree to which Vendors have proposed or failed to propose solutions that will satisfy the State's needs as described in the IFB. Except as specifically stated in the IFB, no one requirement shall automatically disqualify a Vendor from consideration. However, failure to comply with any single requirement may result in the State exercising its discretion to reject a bid in its entirety.

4.0 REQUIREMENTS

This Section lists the requirements related to this IFB. By submitting a bid, the Vendor agrees to meet all stated requirements in this Section as well as any other specifications, requirements and terms and conditions stated in this IFB. If a Vendor is unclear about a requirement or specification or believes a change to a requirement would allow for the State to receive a better bid, the Vendor is urged and cautioned to submit these items in the form of a question during the question and answer period in accordance with the Bid Questions Section above.

4.1 PRICING

Contract price shall constitute the total cost to the State for complete performance in accordance with the requirements and specifications herein, including all applicable charges for handling, transportation, storage, administrative and other similar fees. Complete ATTACHMENT A: PRICING (Excel file located in the Sourcing Tool) and include in Bid. The individual pricing items provided in ATTACHMENT A, or resulting from any negotiations, is incorporated herein and shall become the price for each element on the resulting Contract.

This IFB will require the Vendor to provide the **best price** in the form of a lump sum including profit and overhead for **each project** bid for rehabilitation. Any costs not reflected in the Work Order (WO) will be paid out according to an authorized change order after showing documentation that additional costs are required by the Authority Having Jurisdiction. The Work Order (WO) is a comprehensive document that contains the awarded vendor name, price awarded pursuant to this IFB, address of the project, program and insurance requirements that shall be followed by awarded vendor.

All change orders will be submitted with June 2018 Xactimate pricing and the NCORR Construction Manager will add an additional **63.9% multiplier** to the bid book line items to account for material and labor increases since 2018. The Bid Book is a benchmark pricing book that will be used for project execution of the award of projects. A copy of the Bid Pricing Book will be provided. Contractors shall accept the pricing contained in NCORR's Bid Pricing Book.

Bid Book Pricing can be located from this link. [\[REDACTED\]](#)

Change Orders (Cos) are approved with 27.5% O&P for the CO ECR generated by the NCORR Construction Manager which reflect Xactimate line items or approved at the price submitted by the General Contractor whichever is less. Additionally, projects completing under the period of performance are subject to higher Overhead and Profit (O&P) according to the following table:

% of Period of Performance	Rehabilitation [days]	Change Order O&P [%]
> 81%	> 45 days	27.5%
56 - 80%	36 - 44 days	35%
< 55%	< 35 days	40%

Price shall constitute the total cost to the State for all deliverables required in this IFB. Vendor shall not invoice for any amounts not specifically allowed for in this IFB per ATTACHMENT A: Pricing (Excel file attached in Sourcing Tool) and included with Vendor’s bid. Vendor is **NOT required to submit bids for all projects** in this IFB.

4.2 SURETY LETTER

Provide a letter, dated within this IFB Solicitation, from your surety company, signed by their Attorney in Fact, verifying their willingness to issue sufficient payment and performance bonds for this IFB Solicitation, on behalf of your firm and the dollar limits of that bond commitment, both single and aggregate. Surety company bond rating shall be rated “A” or better under the A.M. Best Rating system or The Federal Treasury List.

4.3 BONDS; PERFORMANCE AND PAYMENT

All projects will require 100% performance and payment bonds, individually or as a whole, after issuance of the Notice to Proceed (NTP) and Work Order (WO). Failure to submit bonds 5 calendar days after the issuance of the Notice to Proceed (NTP) and Work Order (WO) will result in default on the contract.

4.4 GENERAL CONTRACTOR LICENSE / QUESTIONNAIRE

If your company is not a prequalified Vendor under the NCORR Request to Prequalify Vendors for Residential Construction Services (“RFPQ) number 19-RFP-014914-GSX you MUST complete ATTACHMENT G: GENERAL CONTRACTOR QUESTIONNAIRE.

4.5 INVOICES

Vendor shall provide the invoice to the NCORR Construction Manager.

The standard format for invoicing shall be Single Invoices meaning that the Vendor shall provide the NCORR Construction Manager with an invoice for each Project (Application number; homeowner address). Invoices shall include detailed line-item information to allow the NCORR Construction Manager to verify pricing matches the correct price from the contract. At a minimum, the following fields shall be included on all invoices:

Vendor’s Billing Address, Customer Account Number, NC Contract Number, Order Date, Project Number (Application number; homeowner address), Item Descriptions, and Scope Performed.

4.6 FINANCIAL STABILITY

As a condition of contract award, the Vendor certifies by execution that it has the financial capacity to perform and to continue to perform its obligations under the Contract; that Vendor has no constructive or actual knowledge of an actual or potential legal proceeding being brought against Vendor that could materially adversely affect performance of this Contract; and that entering into this Contract is not prohibited by any contract, or order by any court of competent jurisdiction. Vendor shall notify the State within thirty (30) days of any occurrence or condition that materially alters the truth of any statement made in this Certification.

4.7 HUB PARTICIPATION

Pursuant to North Carolina General Statute G.S. 143-48, it is State policy to encourage and promote the use of small, minority, physically handicapped, and women contractors in purchasing Goods and Services. As such, this IFB will serve to identify those Vendors that are minority owned or have a strategic plan to support the State's Historically Underutilized Business program by meeting or exceeding the goal of 10% utilization of diverse firms as 1st or 2nd tier subcontractors. Vendor shall complete ATTACHMENT E and H: HUB INFORMATION.

4.8 OPTIONAL BACKGROUND CHECKS

Any personnel or agent of the Vendor performing Services under any contract arising from this IFB may be required to undergo a background check at the expense of the Vendor, if so requested by the State.

4.9 PERSONNEL

Vendor warrants that qualified personnel shall provide Services under this Contract in a professional manner. "Professional manner" means that the personnel performing the Services will possess the skill and competence consistent with the prevailing business standards in the industry. Vendor will serve as the prime contractor under this Contract and shall be responsible for the performance and payment of all subcontractor(s) that may be approved by the State. Names of any third-party Vendors or subcontractors of Vendor may appear for purposes of convenience in Contract documents; and shall not limit Vendor's obligations hereunder. Vendor will retain executive representation for functional and technical expertise as needed in order to incorporate any work by third party subcontractor(s).

4.10 VENDOR'S REPRESENTATIONS

If Vendor's bid results in an award, Vendor agrees that it will not enter any agreement with a third party that may abridge any rights of the State under the Contract. If any Services, deliverables, functions, or responsibilities not specifically described in this solicitation are required for Vendor's proper performance, provision and delivery of the Service and deliverables under a resulting Contract, or are an inherent part of or necessary sub-task included within such Service, they will be deemed to be implied by and included within the scope of the Contract to the same extent and in the same manner as if specifically described in the Contract. Unless otherwise expressly provided herein, Vendor will furnish all of its own necessary management, supervision, labor, facilities, furniture, computer and telecommunications equipment, software, supplies and materials necessary for the Vendor to provide and deliver the Services and/or other Deliverables.

4.11 AGENCY INSURANCE REQUIREMENTS MODIFICATION

A. Default Insurance Coverage from the General Terms and Conditions applicable to this Solicitation:

- Small Purchases
- Total Project (inclusive of original contract plus any approved change orders) value**

Contract value in excess of \$1,000,000.00

4.12 FEDERAL COVID-19 VACCINATION REQUIREMENT

President Biden issued Executive Order 14042 requiring that all employees working on or in connection with a federal contract be fully vaccinated against COVID-19. By responding to this solicitation, Vendor acknowledges and agrees to comply with the federal COVID-19 vaccination requirements.

4.13 SALES TAX

The completed NCORR Benchmark Bid Book that coincides with 2018 Xactimate pricing may not contain all applicable sales taxes, and approved contractors may invoice or charge to NCORR for reimbursement of applicable sales taxes. If the approved contractor does invoice and charge sales taxes, NCORR may require receipts or documentation to review and process invoices or payment requests.

4.14 WORK ORDER TERM

Each Work Order shall have an initial term of **45** Days after Notice to Proceed for Rehabilitation.

At the end of the Work Order's current term, the State shall have the option, in its sole discretion, to extend the Work Order on the same terms and conditions. The State will give Vendor written notice of its intent whether to exercise each option no later than **10** days before the end of the Work Order's then-current term.

5.0 SCOPE OF WORK

NCORR is seeking to procure the services of General Contractors to perform, or cause to be performed, rehabilitation of eligible structures in compliance with local, Federal, and State statutory requirements for grants.

General Contractors must **mobilize** within **20 days** of Contract award (which shall include obtaining consent to perform the work, design, permitting, surveying, temporary utilities, etc.) and complete assigned construction projects within the contracted time (subject to environmental remediation), construction in most cases should not exceed **45 days** for rehabilitation to reduce potential hazards to public welfare and safety. *Days refer to calendar days.*

It is the intent and goal of NCORR and the State of North Carolina to make every HRP home project successful, so Vendors are encouraged to work proactively with NCORR to identify and resolve all issues and problems immediately to minimize delays in completing HRP projects.

5.1 DESCRIPTION OF SERVICES AND REQUIREMENTS

Vendors must be familiar with North Carolina, local, and/or regional Housing Guidelines, if applicable. Each local/regional program will be nuanced depending on local construction requirements, community recovery needs, program goals, and other applicable locally approved program requirements.

Separately, NCORR retained a design firm to develop elevated foundations which will comply with CDBG-DR resilient construction standards and North Carolina State Building Code. General Contractors shall use provided elevation drawings for this program where required in this IFB. Projects with elevation do not require pricing for engineering services for foundation designs. Foundation designs, original site surveys, and original elevation certificates will be provided.

NCORR's focus is on customer service for the citizens participating in the program, as well as, compliance with all applicable guidelines and regulations.

- a) **HUD Housing Quality Standards (HQS) and Home Energy Rating System (HERS)**
The State's commitment is to provide safe, sanitary and secure housing to eligible program participants. HUD has established standards for housing quality.
- b) **Americans with Disabilities Act of 1990 requirements**
HUD has established standards of compliance that must be followed by every GC on every project where it is mandatory. In addition, GCs will have to adhere to all local, state, and federal rules and regulations.
- c) **Customer Service**
All participants shall be treated with dignity and respect. The State expects responsiveness to the State and the homeowner, first-class customer service, and interactions and communications that are easily understood, prompt, and courteous.

5.2 REHABILITATION SCOPE OF WORK

It is anticipated that structures eligible for rehabilitation will require an array of repairs ranging from minor to major. The scope of work for each repaired structure will vary, but may include, although not be limited to, the following:

- a) Obtaining all necessary state and local permits and approvals prior to commencement of the work for each structure;
- b) Removal of any above and/or in-ground oil tanks identified at the project site. The scope of work for this activity includes but is not limited to any permitting requirements, removal, cleaning, and disposal of tank, including all the tank contents, and remediation of any stained soils or surfaces;
- c) Demolition of damaged interior and exterior materials;
- d) Foundation leveling, repair, excavation and/or elevation;
- e) Structural damage repair;
- f) Building envelope repair, including:
 - a) Roof repair or replacement and attendant damage, including shingles, gutters, and shutters
 - b) Door and window replacement/repair, or removal
 - c) Siding /veneer repair or replacement, or removal
 - d) Mechanical (HVAC), replacement of electrical (including panels) and plumbing systems repair, reconfigure, and replacement
 - e) Drywall repair or replacement
 - f) Rough and trim carpentry;
- g) Surface preparation and painting;
- h) Flooring repair, replacement/removal;
- i) Cabinet and appliance repair or replacement;
- j) Identify lead-based paint, asbestos and other environmental abatement;
- k) Specialty construction elements associated with historic properties, including coordination with the North Carolina Historical Commission or State Historic Preservation Office (SHPO), as well as other local historic districts and stakeholders in other jurisdictions;

- l) Wind mitigation and retrofitting measures;
- m) Specialty construction elements associated with Green Building Construction techniques (as required by HUD);
- n) Accessibility and design of projects to comply with the American with Disabilities Act of 1990 (ADA) (if applicable) unless a HUD exception applies;
- o) Utility disconnection and deactivation/ reactivation and reconnection;
- p) Elevation of decks/porches to meet code compliance;
- q) ADA Compliance to include, although not limited to, ramps and lifts where required;
- r) Conducting close-outs for each project, which may include obtaining certificate(s) of occupancy from applicable state and local authorities; and
- s) Provide the final Survey and elevation certificate requirements where required.
- t) Removal of in-ground/above ground storage tanks or removal & install/repairing of septic systems or removal & install/repairing of wells, where applicable.

5.3 ADDITIONAL REQUIREMENTS

- a) Provide professional labor, equipment, and materials adequate to perform the work in accordance with the scope of work issued for each eligible applicant's residential structure while ensuring that all applicable housing standards and codes are met;
- b) Comply with all applicable local, state, and federal laws, regulations, and guidelines, which may include: HUD Community Development Block Grant disaster laws, regulations, and guidelines; the Davis Bacon Act (for repairs to properties containing eight (8) units or more); and Section 3 of the Housing and Urban Development Act of 1968;
- c) **At least one subcontractor used on this contract must be a Section 3 business.** Failure to list at least one Section 3 subcontract on the Section 3 Utilization Plan attached to Vendor's response to this IFB shall be grounds to consider the response non-responsive.
- d) **At least one subcontractor used on this contract must be a HUB business.** Failure to list at least one HUB subcontract on the HUB Utilization Plan attached to Vendor's response to this IFB shall be grounds to consider the response non-responsive.
- e) Provide documentation and tracking of construction progress;
- f) Meet with individual property owners to review the scope of work to be performed, including establishing a work schedule acceptable to property owners and reviewing work upon final inspection;
- g) Meet NCORR's 45 days for rehabilitation work completion requirements for the HRP Project from the Notice to Proceed;
- h) Meet all federal, state, and local requirements for the transport and disposal of municipal solid, industrial, hazardous, and other wastes from demolished structures; and
- i) Provide a one-year warranty on workmanship, two year warranty on mechanical systems, and a third-party ten-year structural warranty from the date of final inspection by NCORR or upon issuance of a certificate of occupancy by the authority having jurisdiction, whichever is later. The Owner is an intended third party beneficiary of this contract; the Owner shall hold the warranty. Contemporaneously with final inspection or final acceptance of the Project by the local building department or issuance of a certificate of occupancy,

whichever is later, Contractor shall provide Owner with certificates of warranty, instruction manuals, and other documents specified in the Scope of Work and approved change orders. Contractor or manufacturer shall replace such defective equipment or materials, without cost to Owner, within the applicable warranty period. Additionally, Owner may bring an action for latent defects caused by the negligence of Contractor that are hidden or not readily apparent to Owner and/or NCORR, in accordance with applicable law. If not already done through progress payments, Contractor, through final payment, shall: (i) obtain release(s) of claims or liens from subcontractors and/suppliers that acknowledge full payment of amounts due them including any retainage (the subject release may contain conditional language that the release shall become effective when full payment is received by subcontractor or supplier) and obtain all required warranties from subcontractors and suppliers; or (ii) Contractor shall comply with sub-part (i) to the extent possible and Contractor shall provide NCORR with an affidavit identifying the subcontractors/suppliers from whom Contractor was unable to obtain releases of claims/liens notwithstanding Contractor's full payments to those subcontractors/suppliers; the warranties from these subcontractors/suppliers that Contractor was unable to obtain, and an affirmation that Contractor will obtain the warranties or provide alternative warranties/guarantees, and that Contractor will hold harmless and indemnify Owner and NCORR from any claims from the subcontractors and suppliers identified in the affidavit to the extent Contractor has been paid for the subject work through federal grant funds and/or Owner's funds.

Contractor shall provide notice of the expiration of the one-year warranty to Owner six months after completion of the Project, and one month prior to the end of the one-year warranty.

Owner must give notice of observed defects within thirty (30) days of discovery. In the event that Contractor should fail to make repairs, adjustments, or other work that may be made necessary by any defects, Owner may, after giving thirty (30) days' notice to Contractor, make such repairs, adjustments or other work and charge Contractor the costs thereby incurred. Contractor shall promptly make corrections to any such defects, including the repair of any damage to other parts of the system resulting from such defects. Owner shall have no recourse against NCORR, any other State agency or HUD to recover the costs of correcting defects subject to a warranty from Contractor and/or manufacturer.

Articles 12, 13, 17 and 22 of the General Conditions (Attachment D) contain further details and procedures applicable to warranties and instruction manuals.

- j) Assist homeowners in vacating their damaged home, if necessary. Moving services will primarily be authorized to support the elderly and disabled homeowners, and NCORR will coordinate with the approved contractors to minimize risk in the administration of these services.
- k) To ensure homeowners are not out of their homes longer than necessary the State has established standards for timely completion of contracted work. Completion standards begin the day the State issues Vendor a NTP and ends when home passes final inspection and the homeowner accepts key turnover. The State assesses liquidated damages of two hundred fifty dollars (\$250) a day for each day that exceeds the contractual timeframe from NTP to construction passing final inspection and homeowner accepting keys. The timeframe from NTP to homeowner accepting keys is colloquially known as the Period of Performance and includes the initial period with the original scope plus any NCORR-approved time extensions. Liquidated damages are assessed for any days beyond that Period of Performance.
- l) Vendor shall provide Project Specific Site Health and Safety Plan (HASP) shall be submitted within 15 days of NTP and prior to any work beginning for each project.
- m) Vendor shall comply with any Federal, North Carolina State, and local regulations governing work adjacent to wetlands including but not limited to requirements of the North Carolina Department of Environmental

Quality (DEQ) provided to you with this IFB. Pricing shall include compliance with environmental (wetland, etc.) permit requirements.

6.0 CONTRACT ADMINISTRATION

All Contract Administration requirements are conditioned on an award resulting from this solicitation. This information is provided for the Vendor's planning purposes.

6.1 PROJECT MANAGER AND CUSTOMER SERVICE

The Vendor shall be required to designate and make available to the State a project manager. The project manager shall be the State's point of contact for Contract related issues and issues concerning performance, progress review, scheduling, and service.

6.2 POST AWARD PROJECT REVIEW MEETINGS

The Vendor shall be required to meet weekly (virtually) with the State for Project Review status meetings. The weekly meeting will address outstanding issues, review problem resolution, provide direction, and discuss any other pertinent topics.

6.3 ACCEPTANCE OF WORK

Performance shall be completed in accordance with the Contract requirements and recognized and customarily accepted industry practices. Performance shall be considered complete when the NCORR Construction Manager reviews and verifies all contractual obligations have been met and all required documentation provided. NCORR shall not be deemed to have accepted performance until approved by NCORR and the AHJ.

Acceptance of Vendor's work product shall be based on the following criteria:

- Validation by the NCORR Construction Manager all scope of work requirements have been completed in accordance with the Contract
- Providing the NCORR Construction Manager all required permits signed off by the applicable inspector
- Providing the NCORR Construction Manager the Certificate of Occupancy

The State shall have the obligation to notify Vendor, in writing ten (10) calendar days following completion of such work or delivery of a deliverable described in the Contract that it is not acceptable. The notice shall specify in reasonable detail the reason(s) it is unacceptable. Acceptance by the State shall not be unreasonably withheld; but may be conditioned or delayed as required for reasonable review, evaluation, installation, or testing, as applicable to the work or deliverable. Final acceptance is expressly conditioned upon completion of all applicable assessment procedures. Should the work or deliverables fail to meet any specifications, acceptance criteria or otherwise fail to conform to the Contract, the State may exercise any and all rights hereunder, including, for Goods deliverables, such rights provided by the Uniform Commercial Code, as adopted in North Carolina.

6.4 FAITHFUL PERFORMANCE

Any Contract may include terms ensuring a Vendor's performance such as: (1) a bond, or similar assurance; (2) liquidated damages; (3) a percentage of the Contract value held as a retainage; (4) withholding final payment contingent on acceptance of the final deliverable; and (5) any other provision that assures performance of the Vendor. The parties agree that the Vendor shall be subject to the following faithful performance requirements:

1. Fully compliant completion of the Scope of Work per contract specifications.
2. Completion of the projects within contract timeline.
3. Receipt of all pertinent permits and certificates within contract timeline.

Should Vendor not meet these Faithful Performance items, then NCORR shall assess Liquidated Damages of \$250 a day until Faithful Performance has been met. Liquidated Damages shall be deducted from any future payments and/or directly billed to Vendor.

6.5 DISPUTE RESOLUTION

During the performance of the Contract, the parties agree that it is in their mutual interest to resolve disputes informally. Any claims by the Vendor shall be submitted in writing to the State's Contract Manager for resolution. Any claims by the State shall be submitted in writing to the Vendor's Project Manager for resolution. The Parties shall agree to negotiate in good faith and use all reasonable efforts to resolve such dispute(s).

During the time the Parties are attempting to resolve any dispute, each shall proceed diligently to perform their respective duties and responsibilities under this Contract. The Parties will agree on a reasonable amount of time to resolve a dispute. If a dispute cannot be resolved between the Parties within the agreed upon period, either Party may elect to exercise any other remedies available under the Contract, or at law. This provision, when agreed in the Contract, shall not constitute an agreement by either party to mediate or arbitrate any dispute.

6.6 CONTRACT CHANGES

Contract changes, if any, over the life of the contract shall be implemented by contract amendments and/or change orders agreed to in Writing and inspected by the State and the Vendor

Attachments to this IFB begin on the next page.

ATTACHMENT A: PRICING



ATTACHMENT A: PRICING

Download and complete Attachment A: Pricing (Excel file) located in the Sourcing Tool.

Vendor is **NOT required to submit bids for all projects** in this IFB.

All information needed (rehabilitation design plans, asbestos and lead paint testing surveys, Tier 1 and Tier II requirements, Estimated Cost of Repair (ECR), and the Bid Book) are located at the link below.



ATTACHMENT B: INSTRUCTIONS TO BIDDER



ATTACHMENT B: INSTRUCTIONS TO VENDORS

1. **READ, REVIEW AND COMPLY:** It shall be the Vendor's responsibility to read this entire document, review all enclosures and attachments, and any addenda thereto, and comply with all requirements specified herein, regardless of whether appearing in these Instructions to Vendors or elsewhere in this IFB document.
2. **LATE BIDS:** Late bids, regardless of cause, will not be opened or considered, and will automatically be disqualified from further consideration. It shall be the Vendor's sole responsibility to ensure the timely delivery of bids at the designated site by the designated time.
3. **ACCEPTANCE AND REJECTION:** The State reserves the right to reject any and all bids, to waive any informality in bids and, unless otherwise specified by the Vendor, to accept any item in the bid. If either a unit price or an extended price is obviously in error and the other is obviously correct, the incorrect price will be disregarded. Regardless of error or omission, a Vendor shall not be permitted to increase its pricing after the deadline for submitting bids.
4. **BASIS FOR REJECTION:** Pursuant to 01 NCAC 05B .0501, the State reserves the right to reject any and all offers, in whole or in part, by deeming the offer unsatisfactory as to quality or quantity, delivery, price or service offered, non-compliance with the requirements or intent of this solicitation, lack of competitiveness, error(s) in specifications or indications that revision would be advantageous to the State, cancellation or other changes in the intended project or any other determination that the proposed requirement is no longer needed, limitation or lack of available funds, circumstances that prevent determination of the best offer, or any other determination that rejection would be in the best interest of the State.
5. **EXECUTION:** Failure to execute page 1 of the IFB (Execution Page) in the designated space shall render bid non-responsive, and it will be rejected.
6. **ORDER OF PRECEDENCE:** In cases of conflict between specific provisions in this solicitation or those in any resulting contract documents, the order of precedence shall be (high to low) (1) any special terms and conditions specific to this IFB, including any negotiated terms; (2) requirements and specifications and administration provisions in Sections 4, 5 and 6 of this IFB; (3) North Carolina General Contract Terms and Conditions in ATTACHMENT C: NORTH CAROLINA GENERAL CONTRACT TERMS AND CONDITIONS; (4) Instructions in ATTACHMENT B: INSTRUCTIONS TO VENDORS; (5) ATTACHMENT A: PRICING FORM, and (6) Vendor's Bid.
7. **INFORMATION AND DESCRIPTIVE LITERATURE:** Vendor shall furnish all information requested and in the spaces provided in this document. Further, if required elsewhere in this bid, each Vendor shall submit with its bid any sketches, descriptive literature and/or complete specifications covering the products and Services offered. Reference to literature submitted with a previous bid or available elsewhere will not satisfy this provision. Failure to comply with these requirements shall constitute sufficient cause to reject a bid without further consideration.
8. **RECYCLING AND SOURCE REDUCTION:** It is the policy of the State to encourage and promote the purchase of products with recycled content to the extent economically practicable, and to purchase items which are reusable, refillable, repairable, more durable and less toxic to the extent that the purchase or use is practicable and cost-effective. We also encourage and promote using minimal packaging and the use of recycled/recyclable products in the packaging of commodities purchased. However, no sacrifice in quality of packaging will be acceptable. The Vendor remains responsible for providing packaging that will adequately protect the commodity and contain it for its intended use. Companies Vendors are strongly urged to bring to the attention of purchasers those products or packaging they offer which have recycled content and that are recyclable.
9. **CERTIFICATE TO TRANSACT BUSINESS IN NORTH CAROLINA:** As a condition of contract award, each out-of-State Vendor that is a corporation, limited-liability company or limited-liability partnership shall have received, and shall maintain throughout the term of The Contract, a Certificate of Authority to Transact Business in North Carolina from the North Carolina Secretary of State, as required by North Carolina law. A State contract requiring only an isolated transaction completed within a period of six months, and not in the course of a number of repeated transactions of like nature, shall not be considered as transacting business in North Carolina and shall not require a Certificate of Authority to Transact Business.
10. **HISTORICALLY UNDERUTILIZED BUSINESSES:** The State is committed to retaining Vendors from diverse backgrounds, and it invites and encourages participation in the procurement process by businesses owned by minorities, women, disabled, disabled

business enterprises and non-profit work centers for the blind and severely disabled. In particular, the State encourages participation by Vendors certified by the State Office of Historically Underutilized Businesses, as well as the use of HUB-certified vendors as subcontractors.

11. **RECIPROCAL PREFERENCE: RESERVED;** NOT APPLICABLE TO FEDERALLY FUNDED CONTRACTS. G.S. 143-59 establishes a reciprocal preference requirement to discourage other states from favoring their own resident Vendors by applying a percentage increase to the price of any bid from a North Carolina resident Vendor. To the extent another state does so, North Carolina applies the same percentage increase to the bid of a vendor resident in that state. Residency is determined by a Vendor's "Principal Place of Business," defined as that principal place from which the overall trade or business of the Vendor is directed or managed.
12. **INELIGIBLE VENDORS:** As provided in G.S. 147-86.59 and G.S. 147-86.82, the following companies are ineligible to contract with the State of North Carolina or any political subdivision of the State: a) any company identified as engaging in investment activities in Iran, as determined by appearing on the Final Divestment List created by the State Treasurer pursuant to G.S. 147-86.58, and b) any company identified as engaged in a boycott of Israel as determined by appearing on the List of restricted companies created by the State Treasurer pursuant to G.S. 147-86.81. A contract with the State or any of its political subdivisions by any company identified in a) or b) above shall be void *ab initio*.
13. **CONFIDENTIAL INFORMATION:** To the extent permitted by applicable statutes and rules, the State will maintain as confidential trade secrets in its bid that the Vendor does not wish disclosed. As a condition to confidential treatment, each page containing trade secret information shall be identified in boldface at the top and bottom as "CONFIDENTIAL" by the Vendor, with specific trade secret information enclosed in boxes, marked in a distinctive color or by similar indication. Cost information shall not be deemed confidential under any circumstances. Regardless of what a Vendor may label as a trade secret, the determination whether it is or is not entitled to protection will be determined in accordance with G.S. 132-1.2. Any material labeled as confidential constitutes a representation by the Vendor that it has made a reasonable effort in good faith to determine that such material is, in fact, a trade secret under G.S. 132-1.2. Vendors are urged and cautioned to limit the marking of information as a trade secret or as confidential so far as is possible. If a legal action is brought to require the disclosure of any material so marked as confidential, the State will notify Vendor of such action and allow Vendor to defend the confidential status of its information. The Vendor shall provide a "REDACTED" copy of any documentation deemed by the Vendor as being confidential. Redacting refers to the blacking out of information, so it is not visible.
14. **PROTEST PROCEDURES:** When a Vendor wishes to protest the award of The Contract, a Vendor shall submit a written request addressed to the person listed on the cover page of this document. The protest request must be received at the email indicated within thirty (30) consecutive calendar days from the date of the Contract award. Protest letters **shall** contain specific grounds and reasons for the protest, how the protesting party was harmed by the award made and any documentation providing support for the protesting party's claims. **Note:** Contract award notices are sent only to the Vendor actually awarded the Contract, and not to every person or firm responding to a solicitation. Bid status and Award notices are posted on the Internet at <https://www.ips.state.nc.us/ips/>. All protests will be handled pursuant to the North Carolina Administrative Code, 01 NCAC 05B .1519.
15. **MISCELLANEOUS:** Any gender-specific pronouns used herein, whether masculine or feminine, shall be read and construed as gender neutral, and the singular of any word or phrase shall be read to include the plural and vice versa.
16. **COMMUNICATIONS BY VENDORS:** In submitting its bid, the Vendor agrees not to discuss or otherwise reveal the contents of its bid to any source, government or private, outside of the using or issuing agency until after the award of the Contract or cancellation of this IFB. All Vendors are forbidden from having any communications with the using or issuing agency, or any other representative of the State concerning the solicitation, during the evaluation of the bids (i.e., after the public opening of the bids and before the award of the Contract), unless the State directly contacts the Vendor(s) for purposes of seeking clarification or another reason permitted by the solicitation. A Vendor shall not: (a) transmit to the issuing and/or using agency any information commenting on the ability or qualifications of any other Vendor to provide the advertised good, equipment, commodity; (b) identify defects, errors and/or omissions in any other Vendor's bid and/or prices at any time during the procurement process; and/or (c) engage in or attempt any other communication or conduct that could influence the evaluation or award of a Contract related to this IFB. Failure to comply with this requirement shall constitute sufficient justification to disqualify a Vendor from a Contract award. Only those communications with the using agency or issuing agency authorized by this IFB are permitted.

17. **TABULATIONS:** Bid tabulations can be electronically retrieved at the Interactive Purchasing System (IPS), <https://www.ips.state.nc.us/ips/BidNumberSearch.aspx>. Click on the IPS BIDS icon, click on Search for Bid, enter the bid number, and then search. Tabulations will normally be available at this web site not later than one working day after the bid opening. Lengthy or complex tabulations may be summarized, with other details not made available on IPS, and requests for additional details or information concerning such tabulations cannot be honored.
18. **VENDOR REGISTRATION AND SOLICITATION NOTIFICATION SYSTEM:** The North Carolina electronic Vendor Portal (eVP) allows Vendors to electronically register free with the State to receive electronic notification of current procurement opportunities for goods and Services of potential interests to them available on the Interactive Purchasing System, as well as notifications of status changes to those solicitations. Online registration and other purchasing information is available at the following website: <http://ncadmin.nc.gov/about-doa/divisions/purchase-contract>.
19. **WITHDRAWAL OF BID:** Bids that have been delivered by hand, U.S. Postal Service, courier or other delivery service may be withdrawn **only** in writing and if receipt is acknowledged by the office issuing the IFB prior to the time for opening bids identified on the cover page of this IFB (or such later date included in an Addendum to the IFB). Written withdrawal requests shall be submitted on the Vendor's letterhead and signed by an official of the Vendor authorized to make such request. Any withdrawal request made after the opening of bids shall be allowed only for good cause shown and in the sole discretion of the NCORR.
20. **INFORMAL COMMENTS:** The State shall not be bound by informal explanations, instructions or information given at any time by anyone on behalf of the State during the competitive process or after award. The State is bound only by information provided in writing in this IFB and in formal Addenda issued through IPS/Sourcing.
21. **COST FOR BID PREPARATION:** Any costs incurred by Vendor in preparing or submitting offers are the Vendor's sole responsibility; the State of North Carolina will not reimburse any Vendor for any costs incurred or associated with the preparation of bids.
22. **VENDOR'S REPRESENTATIVE:** Each Vendor shall submit with its bid the name, address, and telephone number of the person(s) with authority to bind the firm and answer questions or provide clarification concerning the firm's bid.
23. **INSPECTION AT VENDOR'S SITE:** The State reserves the right to inspect, at a reasonable time, the equipment, item, plant or other facilities of a prospective Vendor prior to Contract award, and during the Contract term as necessary for the State's determination that such equipment, item, plant or other facilities conform with the specifications/requirements and are adequate and suitable for the proper and effective performance of the Contract.

ATTACHMENT C: GENERAL CONTRACT TERMS & CONDITIONS



ATTACHMENT C: NORTH CAROLINA GENERAL CONTRACT TERMS & CONDITIONS

1. PERFORMANCE:

- a) It is anticipated that the tasks and duties undertaken by the Vendor under the contract which results from the State solicitation in this matter (Contract) shall include Services, and/or the manufacturing, furnishing, or development of goods and other tangible features or components, as deliverables.
- b) Except as provided herein, and unless otherwise mutually agreed in writing prior to award, any deliverables not subject to an agreed Vendor license and provided by Vendor in performance of this Contract shall be and remain property of the State. During performance, Vendor may provide proprietary components as part of the deliverables that are identified in this Contract. Vendor grants the State a personal, permanent, non-transferable license to use such proprietary components of the deliverables and other functionalities, as provided under this Contract. Any technical and business information owned by Vendor or its suppliers or licensors made accessible or furnished to the State shall be and remain the property of the Vendor or such other party, respectively. Vendor agrees to perform under the Contract in at least the same or similar manner provided to comparable users and customers. The State shall notify the Vendor of any defects or deficiencies in performance or failure of deliverables to conform to the standards and specifications provided in this Contract. Vendor agrees to timely remedy defective performance or any nonconforming deliverables on its own or upon such notice provided by the State.
- c) Vendor has a limited, non-exclusive license to access and use State Data provided to Vendor, but solely for performing its obligations under and during this Agreement and in confidence as further provided for herein or by law.
- d) Vendor or its suppliers, as specified and agreed in the Contract, shall provide support assistance to the State related to all Services performed or other deliverables procured hereunder during the State's normal business hours. Vendor warrants that its support, customer service, and assistance will be performed at a minimum in accordance with generally accepted and applicable industry standards.
- e) The State may document and take into account in awarding or renewing future procurement contracts the general reputation, performance and performance capabilities of the Vendor under this Contract as provided by G.S. 143-52 and 143-135.9 (a) and (b) (Best Value).

2. DEFAULT AND TERMINATION.

- a) In the event of default by the Vendor, the State may, as provided by NC law, procure goods and services necessary to complete performance hereunder from other sources and hold the Vendor responsible for any excess cost occasioned thereby. *See*, G.S. 25-2-712. In addition, and in the event of default by the Vendor under the Contract, or upon the Vendor filing a petition for bankruptcy or the entering of a judgment of bankruptcy by or against the Vendor, the State may immediately cease doing business with the Vendor, terminate the Contract for cause, and take action to recover relevant damages, and if permitted by applicable law, debar the Vendor from doing future business with the State. 01 NCAC 05B.1520.
- b) If, through any cause, Vendor shall fail to fulfill in a timely and proper manner the obligations under the Contract, including, without limitation, in these North Carolina General Terms and Conditions, the State shall have the right to terminate the Contract by giving thirty days written notice to the Vendor and specifying the effective date thereof. In that event, any or all finished or unfinished deliverables that are prepared by the Vendor under the Contract shall, at the option of the State, become the property of the State (and under any applicable Vendor license to the extent necessary for the State to use such property), and the Vendor shall be entitled to receive just and equitable compensation for any acceptable deliverable completed (or partially completed at the State's option) as to which such option is exercised. Notwithstanding, Vendor shall not be relieved of liability to the State for damages sustained by the State by virtue of any breach of the Contract, and the State may withhold any payment due the Vendor for the purpose of setoff until such time as the exact amount of damages due the State from such breach can be determined. The State, if insecure as to receiving proper performance or provision of goods deliverables, or if documented Vendor Services performance issues exist, under this Contract, may require at any time a performance bond or other alternative performance guarantees from a Vendor without expense to the State as provided by applicable law. G.S. 143-52(a); 01 NCAC 05B.1521; G.S. 25-2-609.

- c) If this Contract contemplates deliveries or performance over a period of time, the State may terminate this Contract for convenience at any time by providing 60 days' notice in writing from the State to the Vendor. In that event, any or all finished or unfinished deliverables prepared by the Vendor under this Contract shall, at the option of the State, become its property, and under any applicable Vendor license to the extent necessary for the State to use such property. If the Contract is terminated by the State for convenience, the State shall pay for those items or Services for which such option is exercised, less any payment or compensation previously made.

3. INTERPRETATION, CONFLICT OF TERMS.

- a) The definitions in the Instructions to Vendors in the relevant solicitation for this Contract, and in 01 NCAC 05A.0112 are specifically incorporated herein.
- b) If federal funds are involved in the transactions under this Contract, the Vendor shall comply with all applicable state and federal requirements and laws, except where State requirements are more restrictive. See the additional federal requirements included in the "Federal Funds Provisions" section below.
- c) "Purchasing Agency" herein is as defined in 01 NCAC 05A.0112, except that if this Contract has been entered into by the NC Department of Administration, Division of Purchase and Contract (P&C) as indicated in the Contract (e.g., a State Term Contract), then P&C will then be a Purchasing Agency for the purposes herein and in the Federal Funds Provisions, below.
- d) Contracts made in contravention of General Statutes, Chapter 143, Article 3 and the Rules in 05 NCAC Chapter 5, are void. G.S. 143-58.
- e) In the event of conflict of terms between applicable provisions of the Federal Funds Provisions and the other provisions of these North Carolina General Contract Terms and Conditions, the more restrictive provision will govern.

4. GOVERNMENTAL RESTRICTIONS: In the event any Governmental restrictions are imposed which necessitate alteration of the goods, material, quality, workmanship, or performance of the Services offered, prior to acceptance, it shall be the responsibility of the Vendor to notify the State Contract Lead or Administrator indicated in the Contract at once, in writing, indicating the specific regulation which requires such alterations. The State reserves the right to accept any such alterations, including any price adjustments occasioned thereby, or to cancel the Contract.

5. AVAILABILITY OF FUNDS: Any and all payments to the Vendor shall be dependent upon and subject to the availability of funds appropriated or allocated to the agency for the purpose set forth in the Contract.

6. TAXES: Any applicable taxes shall be invoiced as a separate item.

- a) G.S. 143-59.1 bars the Secretary of Administration from entering into Contracts with Vendors if the Vendor or its affiliates meet one of the conditions of G.S. 105-164.8(b) and refuses to collect use tax on sales of tangible personal property to purchasers in North Carolina. Conditions under G.S. 105-164.8(b) include: (1) Maintenance of a retail establishment or office, (2) Presence of representatives in the State that solicit sales or transact business on behalf of the Vendor and (3) Systematic exploitation of the market by media-assisted, media-facilitated, or media-solicited means. By execution of the proposal document the Vendor certifies that it and all of its affiliates, (if it has affiliates), collect(s) the appropriate taxes.
- b) The agency(ies) participating in the Contract are exempt from Federal Taxes, such as excise and transportation. Exemption forms submitted by the Vendor will be executed and returned by the using agency.
- c) Prices offered are not to include any personal property taxes, nor any sales or use tax (or fees) unless required by the North Carolina Department of Revenue.

7. SITUS AND GOVERNING LAWS;

- a) This Contract is made under and shall be governed by and construed in accordance with the laws of the State of North Carolina, including, without limitation, the relevant provisions of G.S. Chapter 143, Article 3, and the Rules in 01 NCAC Chapter 05, and any applicable successor provisions, without regard to its conflict of laws rules, and within which State all matters, whether sounding in Contract, tort or otherwise, relating to its validity, construction, interpretation and enforcement shall be determined. G.S. 22B-3.
- b) Vendor shall comply with all laws, ordinances, codes, rules, regulations, and licensing requirements that are applicable to the conduct of its business and its performance in accordance with the Contract, including those of

federal, state, and local agencies having jurisdiction and/or authority, and including, without limitation, the applicable requirements in the Federal Funds Provisions, below.

- c) Non-resident Vendor corporations not formed under NC law must be domesticated in the Office of the NC Secretary of State in order to contract with the State of North Carolina. G.S. 55A-15-01.

8. NON-DISCRIMINATION COMPLIANCE:

To the extent federal funding is involved in this procurement, in whole or in part, compliance with the following is required:

- a) The Vendor shall comply with all Federal Funds Provisions requirements (below) and not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The Vendor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following:

Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Vendor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

- b) The Vendor shall, in all solicitations or advertisements for employees placed by or on behalf of the Vendor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin:
- c) The Vendor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the Vendor's legal duty to furnish information.
- d) The Vendor will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the Vendor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- e) The Vendor shall comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- f) The Vendor shall furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and shall permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- g) In the event of the Vendor's noncompliance with the nondiscrimination clauses of this Contract or with any of the said rules, regulations, or orders, this Contract may be canceled, terminated, or suspended in whole or in part and the Vendor may be declared ineligible for further Government contracts or federally assisted construction Contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- h) The Vendor shall include the portion of the sentence immediately preceding paragraph (a) and the provisions of paragraphs (a) through (g) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The Vendor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, that in the event a Vendor (or herein "applicant," as applicable in context within these Federal Funds Provisions) becomes involved in, or is threatened

with, litigation with a subcontractor or vendor as a result of such direction by the administering agency, the Vendor may request the United States to enter into such litigation to protect the interests of the United States.

- i) The Vendor further agrees that it shall be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: Provided, that if the Vendor so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the Contract.
- j) The Vendor agrees that it shall assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of Vendors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it shall furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it shall otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.
- k) The Vendor further agrees that it shall refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a Vendor debarred from, or who has not demonstrated eligibility for, Government Contracts and federally assisted construction contracts pursuant to the Executive Order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon Vendors and subcontractors by the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive Order. In addition, the Vendor agrees that if it fails or refuses to comply with these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part any relevant grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the Vendor under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such Vendor; and refer the case to the Department of Justice for appropriate legal proceedings.

9. **PAYMENT TERMS:** Payment terms are net not later than 30 days after receipt of a correct invoice or acceptance of goods, whichever is later. The Procuring Agency is responsible for all payments to the Vendor under the Contract. Payment by some agencies may be made by procurement card. If the Vendor accepts Visa, MasterCard, etc., from other customers, it shall accept procurement card payment by the State under the terms provided for the procurement card. 01 NCAC 05B.1523. If payment is made by procurement card, then payment for amounts then due may be processed immediately by the Vendor.

The State does not agree in advance, in contract, pursuant to Constitutional limitations, to pay costs such as interest, late fees, penalties or attorney's fees. This Contract will not be construed as an agreement by the State to pay such costs, and will be paid only as ordered by a court of competent jurisdiction.

10. **CONDITION AND PACKAGING:** Unless otherwise expressly provided by special terms and conditions or specifications in the Contract or by express, specific federal law or rule, it is understood and agreed that any item offered or shipped has not been sold or used for any purpose, is newly manufactured, and shall be in first class condition. All containers/packaging shall be suitable for handling, storage or shipment.
11. **INTELLECTUAL PROPERTY WARRANTY AND INDEMNITY:** Vendor shall hold and save the State, its officers, agents and employees, harmless from liability of any kind, including costs and expenses, resulting from infringement of the rights of any third party in any Services or copyrighted material, patented or patent-pending invention, article, device or appliance delivered in connection with the Contract.
- a) Vendor warrants to the best of its knowledge that:
 - i) Performance under the Contract does not infringe upon any intellectual property rights of any third party; and
 - ii) There are no actual or threatened actions arising from, or alleged under, any intellectual property rights of any third party;
 - b) Should any deliverables supplied by Vendor become the subject of a claim of infringement of a patent, copyright, trademark or a trade secret in the United States, the Vendor, shall at its option and expense, either procure for the State the right to continue using the deliverables, or replace or modify the same to become non-infringing. If neither of these options can reasonably be taken in Vendor's judgment, or if further use shall be prevented by injunction, the Vendor agrees to cease provision of any affected deliverables and refund any sums the State has

paid Vendor for such deliverables and make every reasonable effort to assist the State in procuring substitute deliverables. If, in the sole opinion of the State, the cessation of use by the State of any such deliverables due to infringement issues makes the retention of other items acquired from the Vendor under this Agreement impractical, the State shall then have the option of terminating the Agreement, or applicable portions thereof, without penalty or termination charge; and Vendor agrees to refund any sums the State paid for unused Services or other deliverables.

- c) The Vendor, at its own expense, shall defend any action brought against the State to the extent that such action is based upon a claim that the deliverables supplied by the Vendor, their use or operation, infringe on a patent, copyright, trademark or violate a trade secret in the United States. The Vendor shall pay those costs and damages finally awarded or agreed in a settlement against the State in any such action. Such defense and payment shall be conditioned on the following:
 - i) That the Vendor shall be notified within a reasonable time in writing by the State of any such claim; and
 - ii) That the Vendor shall have the sole control of the defense of any action on such claim and all negotiations for its settlement or compromise provided, however, that the State shall have the option to participate in such action at its own expense.
- d) Vendor will not be required to defend or indemnify the State to the extent any claim by a third party against the State for infringement or misappropriation results solely from the State's material alteration of any Vendor-branded deliverables or Services, or from the continued use of the Services or other deliverables after receiving written notice from the Vendor of the claimed infringement.

12. ADVERTISING: Vendor agrees not to use the existence of the Contract or the name of the State of North Carolina as part of any commercial advertising or marketing of products or Services except as provided in 01 NCAC 05B.1516. A Vendor may inquire whether the State is willing to be included on a listing of its existing customers.

13. ACCESS TO PERSONS AND RECORDS: During, and after the term hereof during the relevant period required for retention of records by State law (G.S. 121-5, 132-1 *et seq.*, typically five years), the State Auditor and any Purchasing Agency's internal auditors shall have access to persons and records related to the Contract to verify accounts and data affecting fees or performance under the Contract, as provided in G.S. 143-49(9). However, if any audit, litigation or other action arising out of or related in any way to this project is commenced before the end of the such retention of records period, the records shall be retained for one (1) year after all issues arising out of the action are finally resolved or until the end of the record retentions period, whichever is later.

14. ASSIGNMENT OR DELEGATION OF DUTIES.

- a) As a convenience to the Vendor, the State may include any person or entity designated by the Vendor in writing as a joint payee on the Vendor's payment check. In no event shall such approval and action obligate the State to anyone other than the Vendor.
- b) If Vendor requests any assignment, or delegation of duties, the Vendor shall remain responsible for fulfillment of all Contract obligations. Upon written request, the State may, in its unfettered discretion, approve an assignment or delegation to another responsible entity acceptable to the State, such as the surviving entity of a merger, acquisition or a corporate reorganization if made as part of the transfer of all or substantially all of the Vendor's assets. 01 NCAC 05B.1507. Any purported assignment or delegation made in violation of this provision shall be void and a material breach of the Contract. G.S. 143-58.

15. INSURANCE: This section provides minimum insurance coverage rates that are applicable to most moderate risk solicitations. Agency Risk Analysis will determine if higher insurance coverage amounts are needed based on the likelihood and severity of exposure to the State. The analysis is documented in writing in the official file and considers the following non-exclusive factors:

1. Potential for damage to State property or property of a third party,
2. Potential for bodily injury to State employees or third parties,
3. Whether Vendor will transport State property, clients, or employees,
4. Use of a vehicle to accomplish the work or to travel to or from State locations,
5. Anticipated physical contacts of the Vendor with the State,
6. Anticipated number and activity of Vendor personnel within the State, and
7. Any other unique considerations that could result in harm, bodily injury, or property damage.

The Purchasing Agency has specified elsewhere in this Contract any increase in the minimum insurance coverage requirements below if the risk from the above factors is high.

a) REQUIREMENTS - Providing and maintaining adequate insurance coverage is a material obligation of the Vendor and is of the essence of the Contract. All such insurance shall meet all laws of the State of North Carolina. Such insurance coverage shall be obtained from companies that are authorized to provide such coverage and that are authorized by the NC Commissioner of Insurance to do business in North Carolina. The Vendor shall at all times comply with the terms of such insurance policies, and all requirements of the insurer under any such insurance policies, except as they may conflict with existing North Carolina laws or the Contract. The limits of coverage under each insurance policy maintained by the Vendor shall not be interpreted as limiting the Vendor's liability and obligations or the indemnification requirements under the Contract. As provided above, a State agency is authorized, upon written evaluation and substantiation in the official file of the significant risk of bodily injury and/or property or other damage in the contract, to require and enforce higher coverage limits to mitigate the potential risk of liability to the State.

b) COVERAGE - During the term of the Contract, the Vendor at its sole cost and expense shall provide commercial insurance of such type and with such terms and limits as may be reasonably associated with the Contract. At a minimum, the Vendor shall provide and maintain the following coverage and limits, subject to higher requirements by an agency after the risk analysis indicated above:

1. **For Small Purchases** as defined under North Carolina Administrative Code 01 NCAC 05A.0112 (35) and 05B.0301 (1), the minimum applicable insurance requirements for Worker's Compensation and Automobile Liability will apply as required by North Carolina law. The Purchasing Agency may require Commercial General Liability coverage consistent with the assessed risks involved in the procurement.

2. **For Contracts valued in excess of the Small Purchase threshold, but up to \$1,000,000.00 the following limits shall apply:**

- i. **Worker's Compensation** - The Vendor shall provide and maintain Worker's Compensation Insurance, as may be required by the laws of North Carolina, as well as employer's liability coverage, with minimum limits of \$250,000.00, covering all of Vendor's employees who are engaged in any work under the Contract in North Carolina. If any work is sub-Contracted, the Vendor shall require the sub-contractor to provide the same coverage for any of its employees engaged in any work under the Contract within the State.
- ii. **Commercial General Liability** - General Liability Coverage on a Comprehensive Broad Form on an occurrence basis in the minimum amount of \$500,000.00 Combined Single Limit. Defense costs shall be in excess of the limit of liability.
- iii. **Automobile** - Automobile Liability Insurance, to include liability coverage covering all owned, hired and non-owned vehicles, used within North Carolina in connection with the Contract. The minimum combined single limit shall be \$250,000.00 bodily injury and property damage; \$250,000.00 uninsured/under insured motorist; and \$2,500.00 medical payment.

3. **For Contracts valued in excess of \$1,000,000.00 the following limits shall apply:**

- i. **Worker's Compensation** - The Vendor shall provide and maintain Worker's Compensation Insurance, as may be required by the laws of North Carolina, as well as employer's liability coverage, with minimum limits of \$500,000.00, covering all of Vendor's employees who are engaged in any work under the Contract in North Carolina. If any work is sub-Contracted, the Vendor shall require the sub-contractor to provide the same coverage for any of its employees engaged in any work under the Contract within the State.
- ii. **Commercial General Liability** - General Liability Coverage on a Comprehensive Broad Form on an occurrence basis in the minimum amount of \$1,000,000.00 Combined Single Limit. Defense costs shall be in excess of the limit of liability.
- iii. **Automobile** - Automobile Liability Insurance, to include liability coverage covering all owned, hired and non-owned vehicles, used within North Carolina in connection with the Contract. The minimum combined single limit shall be \$500,000.00 bodily injury and property damage; \$500,000.00 uninsured/under insured motorist; and \$5,000.00 medical payment.

16. GENERAL INDEMNITY: The Vendor shall hold and save the State, its officers, agents, and employees, harmless from liability of any kind, including all claims and losses accruing or resulting to any other person, firm, or corporation furnishing or supplying work, Services, materials, or supplies in connection with the performance of the Contract, and also from any and all claims and losses accruing or resulting to any person, firm, or corporation that may be injured or damaged by the Vendor in the performance of the Contract that are attributable to the negligence or intentionally tortious acts of the Vendor, provided that the Vendor is notified in writing within 30 days from the date that the State has knowledge of such claims. The Vendor represents and warrants that it shall make no claim of any kind or nature against the State's agents who are involved in the delivery or processing of Vendor deliverables or Services to the State. As part of this provision for indemnity, if federal funds are involved in this procurement, the Vendor warrants that it will comply with all relevant and applicable federal requirements and laws, and will indemnify and hold and save the State harmless from any claims or losses resulting to the State from the Vendor's noncompliance with such federal requirements or law in this Contract. The representations and warranties in the preceding two sentences shall survive the termination or expiration of the Contract. The State does not participate in indemnification due to Constitutional restrictions, or arbitration, which effectively and unacceptably waives jury trial. *See, G.S. 22B-3, -10.*

17. ELECTRONIC PROCUREMENT: RESERVED.

18. SUBCONTRACTING: Performance under the Contract by the Vendor shall not be subcontracted without prior written approval of the State's assigned Contract Lead. Unless otherwise agreed in writing, acceptance of a Vendor's proposal shall include approval to use the subcontractor(s) that have been specified therein.

19. CONFIDENTIALITY: Vendor information that cannot be shown to be, e.g., a trade secret, may be subject to public disclosure under the terms of the State Public Records Act (SPRA), beginning at G.S. 132.1. Blanket assertions of confidentiality are not favored, but confidentiality of specific material meeting one or more exceptions in the SPRA will be honored. Vendors are notified that if the confidentiality of material is challenged by other parties, the Vendor has the responsibility of defending the assertion of confidentiality. G.S. 143-52(a).

20. CARE OF STATE DATA AND PROPERTY: Any State property, information, data, instruments, documents, studies or reports given to or prepared or assembled by or provided to the Vendor under the Contract shall be kept as confidential, used only for the purpose(s) required to perform the Contract and not divulged or made available to any individual or organization without the prior written approval of the State.

The State's data and property in the hands of the Vendor shall be protected from unauthorized disclosure, loss, damage, destruction by a natural event or another eventuality. The Vendor agrees to reimburse the State for loss or damage of State property while in Vendor's custody. Such State Data shall be returned to the State in a form acceptable to the State upon the termination or expiration of this Agreement.

The Vendor shall notify the State of any security breaches within 24 hours as required by G.S. 143B-1379. For further information, *see, G.S. 75-60 et seq.* Notice is given to the Vendor that the NC Department of Information Technology (DIT) has requirements relating to the security of the State network, and rules relating to the use of the State network, IT software and equipment, that the Vendor must comply with, as applicable. *See, e.g., G.S. 143B-1376.*

21. OUTSOURCING: Any Vendor or subcontractor providing call or contact center services to the State of North Carolina or any of its agencies shall disclose to inbound callers the location from which the call or contact center services are being provided.

If, after award of a Contract, and consistent with any applicable NC DIT security provisions, the Contractor wishes to relocate or outsource any portion of performance to a location outside the United States, or to Contract with a subcontractor for any such performance, which subcontractor and nature of the work has not previously been disclosed to the State in writing, prior written approval must be obtained from the State Purchasing Agency. Vendor shall give notice to the Purchasing Agency of any relocation of the Vendor, employees of the Vendor, subcontractors of the Vendor, or other persons providing performance under a State Contract to a location outside of the United States. *See, G.S. 143-59.4.*

22. ENTIRE AGREEMENT: The Contract (including any documents mutually incorporated specifically therein) resulting from a relevant solicitation represents the entire agreement between the parties and supersedes all prior oral or written statements or agreements. All promises, requirements, terms, conditions, provisions, representations, guarantees, and warranties contained herein shall survive the Contract expiration or termination date unless specifically provided otherwise herein, or unless superseded by applicable Federal or State statutes of limitation.

23. ELECTRONIC RECORDS: The State will digitize all Vendor responses to the relevant solicitation, if not received electronically, as well as any awarded Contract together with associated procurement-related documents. These electronic

copies shall constitute a preservation record and shall serve as the official record of this procurement with the same force and effect as the original written documents comprising such record. Any official electronic copy, printout or other output readable by sight shown to reflect such record accurately shall constitute an "original."

24. **AMENDMENTS:** This Contract may be amended only by a written amendment duly executed by the State and the Vendor.
25. **NO WAIVER:** Notwithstanding any other language or provision in the Contract or in any Vendor-supplied material, nothing herein is intended nor shall be interpreted as a waiver of any right or remedy otherwise available to the State under applicable law. The waiver by the State of any right or remedy on any one occasion or instance shall not constitute or be interpreted as a waiver of that or any other right or remedy on any other occasion or instance.
26. **FORCE MAJEURE:** Neither party shall be deemed to be in default of its obligations hereunder if and so long as it is prevented from performing such obligations as a result of events beyond its reasonable control, including, without limitation, fire, power failures, any act of war, hostile foreign action, nuclear explosion, riot, strikes or failures or refusals to perform under subcontracts, civil insurrection, earthquake, hurricane, tornado, other catastrophic epidemic or pandemic, natural event or Act of God.
27. **SOVEREIGN IMMUNITY:** Notwithstanding any other term or provision in the Contract, nothing herein is intended nor shall be interpreted as waiving any claim or defense based on the principle of sovereign immunity or other State or federal constitutional provision or principle that otherwise would be available to the State under applicable law.
28. **FEDERAL FUNDS PROVISIONS**

Where federal funds are utilized in connection with this procurement, and to the extent applicable and absent stricter or controlling State provisions, the following federal provisions (in addition to the North Carolina General Terms and Conditions above) may apply consistent with Uniform Guidance in 2 C.F.R. § 200.326 and 2 C.F.R. Part 200, and its Appendix II. Relevant federal authorities may require additional provisions depending on the scope and context of the Contract. Failure or unwillingness of the Vendor to continually meet any of these requirements, as applicable, may result in Contract termination.

- a) **No governmental non-competes.** Vendor shall not impose or enforce any non-competition agreement upon the employees included in Vendor's bid that would prevent those employees from accepting any offer of employment from the State of North Carolina outside of the first Term of the Contract. By executing this Contract, the Vendor affirms this condition. This affirmation is a material condition for the State's award of any work under this Contract.
- b) **Program Monitoring.** Vendor agrees to assist and cooperate with the Federal grantor or funding agency and the relevant Purchasing Agency or their duly designated representatives in the monitoring of the project or projects to which this Contract relates, and to provide in form and manner approved by the Purchasing Agency such monitoring reports, progress reports, and the like as may be required and to provide such reports at the times specified.
- c) **Remedies and Termination,** For purposes of this section the State Remedies and Termination provisions above apply as written.
- d) **Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708).**

Compliance with the Contract Work Hours and Safety Standards Act.

1. *Overtime requirements.* No Vendor or subcontractor contracting for any part of the Contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
2. *Violation; liability for unpaid wages; liquidated damages.* In the event of any violation of the clause set forth in 29 C.F.R. §5.5(b)(1) the Vendor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such Vendor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in 29 C.F.R. §5.5(b)(1), in the sum of \$26 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in 29 C.F.R. §5.5(b)(1).
3. *Withholding for unpaid wages and liquidated damages.* The Purchasing Agency shall upon its own action or upon

written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Vendor or subcontractor under any such contract or any other Federal contract with the same prime Vendor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Vendor, such sums as may be determined to be necessary to satisfy any liabilities of such Vendor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in 29 C.F.R. §5.5(b)(2).

4. *SubContracts*. The Vendor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (b)(1) through (4) of 29 C.F.R. §5.5 and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Vendor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in 29 C.F.R. §5.5(b)(2) through (4).

e) **CLEAN AIR ACT AND THE FEDERAL WATER POLLUTION CONTROL ACT.**

Clean Air Act

1. The Vendor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. § 7401 et seq.
2. The Vendor agrees to report each violation to the Purchasing Agency and understands and agrees that the Purchasing Agency will, in turn, report each violation as required to assure notification to the Federal Emergency Management Agency, and the appropriate Environmental Protection Agency Regional Office.
3. The Vendor agrees to include these requirements in each subcontract exceeding \$150,000 financed in whole or in part with Federal assistance.

Federal Water Pollution Control Act

1. The Vendor agrees to comply with all applicable standards, orders, or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 U.S.C. 1251 et seq.
2. The Vendor agrees to report each violation to the Purchasing Agency and understands and agrees that the Purchasing Agency will, in turn, report each violation as required to assure notification to the federal agency providing funds hereunder, and the appropriate Environmental Protection Agency Regional Office.
3. The Vendor agrees that these requirements will be included in each subcontract exceeding \$150,000 financed in whole or in part with Federal assistance.

f) **Debarment and Suspension.**

1. This Contract, if federal funding is used, is a covered transaction for purposes of 2 C.F.R. pt. 180 and 2 C.F.R. pt. 3000. As such, the Vendor is required to verify that none of the Vendor's principals (defined at 2 C.F.R. § 180.995) or its affiliates (defined at 2 C.F.R. § 180.905) are excluded (defined at 2 C.F.R. § 180.940) or disqualified (defined at 2 C.F.R. § 180.935).
2. The Vendor must comply with 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C, and must include a requirement to comply with these regulations in any lower tier covered transaction it enters into.
3. This certification is a material representation of fact relied upon by a federal agency providing federal funds herein and the Purchasing Agency. If it is later determined that the Vendor did not comply with 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C, in addition to remedies available to federal agency providing federal funds herein and the Purchasing Agency, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment.
4. The Vendor agrees to comply with the requirements of 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C while this offer is valid and throughout the period of the Contract resulting from a relevant solicitation herein. The Vendor further agrees to include a provision requiring such compliance in its lower tier covered transactions.

g) **Byrd Anti-Lobbying Amendment (31 U.S.C. 1352) (as Amended).**

To the extent applicable, Vendors that apply or bid for an award of \$100,000 or more shall file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, officer or employee of Congress, or an employee of a Member of Congress in connection with obtaining any Federal

Contract, grant, or any other award covered by 31 U.S.C. § 1352. Each tier shall also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the recipient who in turn will forward the certification(s) to the awarding agency.

Required Certification. If applicable, Vendors must sign and submit to the Purchasing Agency the certification. See the latest version of "Certification for Contracts, Grants, Loans, and Cooperative Agreements" found at <https://ncadmin.nc.gov/documents/vendor-forms>.

h) Procurement of Recovered Materials.

1. Unless specified otherwise in the Contract, in the performance of this Contract, the Vendor shall make maximum use of products containing recovered materials that are EPA-designated items unless the product cannot be acquired:
 - Competitively within a timeframe providing for compliance with the Contract performance schedule;
 - Meeting Contract performance requirements; or
 - At a reasonable price.
2. Information about this requirement, along with the list of EPA designated items, is available at EPA's Comprehensive Procurement Guidelines web site: <https://www.epa.gov/smm/comprehensive-procurement-guideline-cpg-program>.
3. The Vendor also agrees to comply with all other applicable requirements of Section 6002 of the Solid Waste Disposal Act."

i) Access to Records. In addition to the North Carolina General Contract Terms & Conditions section entitled "**ACCESS TO PERSONS AND RECORDS**" included in this Contract, the following access to records requirements apply to this Contract:

1. The Vendor agrees to provide the Purchasing Agency, the Administrator of the federal agency providing funds hereunder, the Comptroller General of the United States, or any of their authorized representatives access to any books, documents, papers, and records of the Vendor which are directly pertinent to this Contract for the purposes of making audits, examinations, excerpts, and transcriptions.
2. The Vendor agrees to permit any of the foregoing parties to reproduce by any means whatsoever or to copy excerpts and transcriptions as reasonably needed.
3. The Vendor agrees to provide the Administrator of the federal agency providing funds hereunder or his authorized representative access to construction or other work sites pertaining to the work being completed under the Contract.
4. In compliance with the Disaster Recovery Act of 2018, the Purchasing Agency and the Vendor acknowledge and agree that no language in this Contract is intended to prohibit audits or internal reviews by the Administrator of the federal agency providing funds hereunder or the Comptroller General of the United States.

j) Modifications to Contract. Modifications to the Contract are governed by the North Carolina General Contract Terms & Conditions section above entitled "**AMENDMENTS**," except as approval and signature by any federal official may also be required.

k) Records Retention. All records required to be kept on the project shall be maintained for at least five (5) years after final payments and until all other pending matters under the grant for this project have been closed. However, if any audit, litigation or other action arising out of or related in any way to this project is commenced before the end of the five (5) year period, the records shall be retained for one (1) year after all issues arising out of the action are finally resolved or until the end of the five (5) year period, whichever is later.

l) Energy Efficiency. All participants in the projects funded hereby shall recognize mandatory standards and policies relating to energy efficiency, which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act (PL 94-163).

m) Program Fraud and False or Fraudulent Statements or Related Acts. Vendor acknowledges that 31 U.S.C. Chapter 38 (Administrative Remedies for False Claims and Statements) applies to its actions pertaining to the Contract.

n) No Obligation by Federal Government. The Federal Government is not a party to this Contract and is not subject to any obligations or liabilities to the non-Federal entity, Vendor, or any other party pertaining to any matter resulting

from the Contract.

- o) **Compliance with Federal Law, Regulations, and Executive Orders.** This is an acknowledgement that federal financial assistance will be used to fund all or a portion of the Contract. The Vendor will comply with all applicable Federal law, regulations, executive orders, the policies of the federal agency(ies) providing funding, procedures, and directives.
- p) **Federal Seals, Logos, and Flags.** In addition to the prohibitions of the North Carolina General Contract Terms & Conditions section above entitled "**ADVERTISING**," the Vendor shall not use the seal(s), logos, crests, or reproductions of flags of a federal agency providing funding herein, or likenesses of federal agency officials without specific pre-approval of the relevant federal agency.
- q) **System for Awards Management.** Vendor shall be responsible to ensure that it has checked the federal System for Awards Management (SAM) <https://www.sam.gov/SAM> and the State Debarred Vendors Listing, <http://www.pandc.nc.gov/actions.asp> to verify that Contractors or sub-Recipients have not been suspended or debarred from doing business with federal or State government.

ATTACHMENT D: GENERAL CONDITIONS



ATTACHMENT D: NORTH CAROLINA CONSTRUCTION GENERAL CONDITIONS

ARTICLE 1 - WORKING DRAWINGS AND SPECIFICATIONS AT THE JOB SITE

- a. Contractor shall maintain, in readable condition at his job office, one complete set of working drawings and specifications for his work including all shop drawings. Such drawings and specifications shall be available for use by Contractor, designer, and/or NCORR. A copy of the plans and specifications shall be provided to Owner.
- b. Contractor shall maintain at the job office a day-to-day record of work-in-place that is at variance with the contract documents. Such variations shall be fully noted on project drawings by Contractor and submitted to the designer and NCORR upon project completion and no later than thirty (30) days after acceptance of the project.
- c. Contractor shall maintain at the job office a record of all required tests or special inspections that have been performed, clearly indicating the scope of work inspected and the date of approval or rejection. Contractor shall make these tests and special inspection reports available to NCORR, the Authority Having Jurisdiction ("AHJ") and Owner upon request.

ARTICLE 2 - OWNERSHIP OF DRAWINGS AND SPECIFICATIONS

- a. State Construction Documents. All drawings and specifications are instruments of service and remain the property of NCORR and/or its designer, but Contractor has a license to use drawings and specifications for future renovation or work at Home. Contractor's use of these instruments on work other than this contract without permission of NCORR is prohibited.
- b. Contractor Construction Documents. All drawings and specifications provided by Contractor in the construction of a Project remain intellectual or proprietary property of Contractor and/or its designer. Contractor and/or its designer grant a limited license to NCORR to use drawings and specifications for future renovation or work at Home. NCORR's use of these plans and specifications on work other than this Contract without permission of Designer is prohibited and NCORR is prohibited from selling Designer's plans and specifications.

ARTICLE 3 - MATERIALS, EQUIPMENT, EMPLOYEES

- a. Contractor shall, unless otherwise specified, supply and pay for all labor, transportation, materials, tools, apparatus, lights, power, heat, sanitary facilities, water, scaffolding and incidentals necessary for the completion of his work, and shall install, maintain and remove all equipment of the construction, other utensils or things, and be responsible for the safe, proper and lawful construction, maintenance and use of the same, and shall construct in the best and most workmanlike manner, a complete job and everything incidental thereto, as shown on the plans, stated in the specifications, or reasonably implied therefrom, all in accordance with the contract documents.
- b. All materials shall be new and of quality specified, except where reclaimed material is authorized herein and approved for use. Workmanship shall at all times be of a grade accepted as the best practice of the particular trade involved, and as stipulated in written standards of recognized organizations or institutes of the respective trades except as exceeded or qualified by the specifications.
- c. Upon notice, Contractor shall furnish evidence as to quality of materials.
- d. Products are generally specified by American Society of Testing and Materials (ASTM) or other reference standard and/or by manufacturer's name and model number or trade name. When specified only by reference standard, Contractor may select any product meeting this standard, by any manufacturer. When several products or manufacturers are specified as being equally acceptable, Contractor has the option of using any product and manufacturer combination listed. However, Contractor shall be aware that the cited examples are used only to denote the quality standard of product desired and that they do not restrict bidders to a specific brand, make, manufacturer or specific name; that they are used only to set forth and convey to bidders the general style, type, character and quality of product desired; and that equivalent products will be acceptable.

Request for substitution of materials, items, or equipment shall be submitted to NCORR for approval or disapproval; such approval or disapproval shall be made by NCORR prior to the opening of bids. Alternate materials may be requested after the award if it can clearly be demonstrated that it is an added benefit to Owner and NCORR and Owner approves.

- e. NCORR shall be the judge of equality for proposed substitution of products, materials or equipment and whether they comply with CDBG-DR, HRP and/or grant eligibility rules, requirements and/or standards.

ARTICLE 4 - ROYALTIES, LICENSES AND PATENTS

It is the intention of the contract documents that the work covered herein will not constitute in any way infringement of any patent whatsoever unless the fact of such patent is clearly evidenced herein. Contractor shall protect and save harmless Owner, NCORR, the State of North Carolina and/or HUD against suit on account of alleged or actual infringement. Contractor shall pay all royalties and/or license fees required on account of patented articles or processes, whether the patent rights are evidenced hereinafter.

ARTICLE 5 - PERMITS, INSPECTIONS, FEES, REGULATIONS

- a. Contractor shall give all notices and comply with all laws, ordinances, codes, rules and/or regulations bearing on the conduct of the work under this contract. If Contractor observes that the drawings and specifications are at variance therewith, he shall promptly notify NCORR in writing. Any necessary changes required after contract award shall be made by change order in accordance with Article 19. If Contractor performs any work knowing it to be contrary to such laws, ordinances, codes, rules and regulations, and without such notice to NCORR, he shall bear all cost arising therefrom. Additional requirements implemented after bidding will be subject to equitable negotiations.
- b. All work under this contract shall conform to the North Carolina State Building Code and other State, local and national codes as are applicable. The cost of all required inspections and permits shall be the responsibility of Contractor and be included within the bid proposal. All water taps, meter barrels, vaults and impact fees shall be paid by Contractor and included within the bid proposal unless otherwise noted.

ARTICLE 6 - PROTECTION OF WORK, PROPERTY AND THE PUBLIC

- a. Contractor shall be responsible for the entire site and the building or construction of the same and provide all the necessary protections, as required by NCORR or designer, and by laws or ordinances governing such conditions. Contractor shall be responsible for any damage to Owner's property, or of that of others on the job, by them, their personnel, or their subcontractors, and shall remedy such damages. Contractor shall be responsible for and pay for any damages caused to Owner. Contractor shall have access to the project at all times.
- b. Contractor shall provide cover and protect all portions of the structure when the work is not in progress, provide and set all temporary roofs, covers for doorways, sash and windows, and all other materials necessary to protect all the work on the building, whether set by him, or any of the subcontractors. Any work damaged through the lack of proper protection or from any other cause, shall be repaired or replaced without extra cost to NCORR or Owner.
- c. No fires of any kind will be allowed inside or around the operations during the course of construction without special permission from NCORR.
- d. Contractor shall protect all trees and shrubs designated to remain in the vicinity of the operations by building substantial boxes around the same. Contractor shall barricade all walks, roads, etc., as directed by NCORR to keep the public away from the construction. All trenches, excavations or other hazards in the vicinity of the work shall be well barricaded and properly lighted at night.
- e. Contractor shall provide all necessary safety measures for the protection of all persons on the job, including the requirements of the A.G.C. *Accident Prevention Manual in Construction*, as amended, and shall fully comply with all state laws or regulations and North Carolina State Building Code requirements to prevent accident or injury to persons on or about the location of the work. Contractor shall clearly mark or post signs warning of existing hazards, and shall barricade excavations, elevator shafts, stairwells and similar hazards. Contractor

shall protect against damage or injury resulting from falling materials and he shall maintain all protective devices and signs throughout the progress of the work.

- f. Contractor shall adhere to the rules, regulations and interpretations of the North Carolina Department of Labor relating to Occupational Safety and Health Standards for the Construction Industry (Title 29, Code of Federal Regulations, Part 1926, published in Volume 39, Number 122, Part II, June 24, 1974, *Federal Register*), and revisions thereto as adopted by General Statutes of North Carolina 95-126 through 155.
- g. Contractor shall designate a responsible person of his organization as safety officer/inspector to inspect the project site for unsafe health and safety hazards, to report these hazards to Contractor for correction, and whose duties also include accident prevention on the project, and to provide other safety and health measures on the project site as required by the terms and conditions of the contract. The name of the safety inspector shall be made known to NCORR at the time of the preconstruction meeting and in all cases prior to any work starting on the project.
- h. In the event of an emergency affecting the safety of life, the protection of work, or the safety of adjoining properties, Contractor is hereby authorized to act at his own discretion, without further authorization from anyone, to prevent such threatened injury or damage. Any compensation claimed by Contractor on account of such action shall be determined as provided for under Article 10(b).
- i. Any and all costs associated with correcting damage caused to adjacent properties of the construction site or staging area shall be borne by Contractor. These costs shall include but not be limited to flooding, mud, sand, stone, debris, and discharging of waste products.

ARTICLE 7 - SEDIMENTATION POLLUTION CONTROL ACT OF 1973

- a. Any land-disturbing activity performed by Contractor in connection with the project shall comply with all erosion control measures set forth in the contract documents and any additional measures which may be required in order to ensure that the project is in full compliance with the Sedimentation Pollution Control Act of 1973, as implemented by Title 15, North Carolina Administrative Code, Chapter 4, Sedimentation Control, Subchapters 4A, 4B and 4C, as amended (15 N.C.A.C. 4A, 4B and 4C).
- b. Upon receipt of notice that a land-disturbing activity is in violation of said act, Contractor shall be responsible for ensuring that all steps or actions necessary to bring the project in compliance with said act are promptly taken.
- c. Contractor shall be responsible for defending any legal actions instituted pursuant to N.C. Gen. Stat. §113A-64 against any party or persons described in this article.
- d. To the fullest extent permitted by law, Contractor shall indemnify and hold harmless NCORR, designer, and the agents, consultants and employees of NCORR, and designer from and against all claims, damages, civil penalties, losses and expenses, including, but not limited to, attorneys' fees, arising out of or resulting from the performance of work or failure of performance of work, provided that any such claim, damage, civil penalty, loss or expense is attributable to a violation of the Sedimentation Pollution Control Act. Such obligation shall not be construed to negate, abridge or otherwise reduce any other right or obligation of indemnity which would otherwise exist as to any party or persons described in this article.

ARTICLE 8 - INSPECTION OF THE WORK

- a. It is a condition of this contract that the work shall be subject to inspection during normal working hours and during any time work is in preparation and progress by Contractor, designer, designated official representatives AHJ, NCORR and those persons required by state law to test special work for official approval. Contractor shall therefore provide safe access to the work at all times for such inspections. Owner must give advance notice to Contractor and/or NCORR to ensure Owner safety pursuant to OSHA requirements.
- b. Where special inspection or testing is required by virtue of any state laws, instructions of the designer and/or NCORR, specifications or codes, Contractor shall give adequate notice to the designer and NCORR of the time set for such inspection or test, if the inspection or test will be conducted by a party other than the designer. Such special tests or inspections will be made in the presence of NCORR, or his authorized representative, or designer (if necessary) and it shall be Contractor's responsibility to serve ample notice of such tests.

- c. All laboratory tests shall be paid for by Contractor unless provided otherwise in the contract documents, including laboratory tests to establish design mix for concrete, and for additional tests to prove compliance with contract documents where materials have tested deficient, except when the testing laboratory did not follow the appropriate ASTM testing procedures.
- d. Should any work be covered up or concealed prior to inspection and approval by AHJ and NCORR (when required by the contract) and/or special inspector, such work shall be uncovered or exposed for inspection, if so requested by AHJ (verbally or in writing) or NCORR (in writing). Inspection of the work will be made upon notice from Contractor. All cost involved in uncovering, repairing, replacing, recovering and/or restoring to design condition the work that has been covered or concealed will be paid by Contractor involved.

ARTICLE 9 - CONTRACTOR AND SUBCONTRACTOR RELATIONSHIPS

- a. Contractor agrees that the terms of its contract shall apply equally to each subcontractor as to Contractor, and Contractor agrees to take such action as may be necessary to bind each subcontractor to these terms. Contractor further agrees to conform to the Code of Ethical Conduct as adopted by the Associated General Contractors of America, Inc., with respect to contractor-subcontractor relationships, and that payments to subcontractors shall be made in accordance with the provisions of G.S. 143-134.1 titled Interest on final payments due to prime contractors: payments to subcontractors.
- b. Within seven days of receipt by Contractor of each periodic or final payment, Contractor shall pay the subcontractor based on work completed or service provided under the subcontract. Should any periodic or final payment to the subcontractor be delayed by more than seven days after receipt of periodic or final payment by Contractor, Contractor shall pay the subcontractor interest, beginning on the eighth day, at the rate of one percent (1%) per month or fraction thereof on such unpaid balance as may be due. NCORR shall not be liable for interest resulting from Contractor's failure to pay any subcontractor.
- c. NCORR will retain no more than five (5) percent of progress payments from Contractor, which will be released upon final acceptance of the HRP project. Should Contractor fail to perform work under the contract, substantially delay completion of the work, or fail to correct non-conforming work in a timely manner, NCORR may use retainage to correct non-conforming work and/or complete performance of the contract. The percentage of retainage on payments made by Contractor to the subcontractor shall not exceed the percentage of retainage on payments made by NCORR to Contractor. Any percentage of retainage on payments made by Contractor to the subcontractor that exceeds the percentage of retainage on payments made by NCORR to Contractor shall be subject to interest to be paid by Contractor to the subcontractor at the rate of one percent (1%) per month or fraction thereof.
- d. Nothing in this section shall prevent Contractor, at the time of application and certification to NCORR, from withholding application and certification to NCORR for payment to the subcontractor for unsatisfactory job progress; defective construction not remedied; disputed work; third-party claims filed or reasonable evidence that claim will be filed; failure of subcontractor to make timely payments for labor, equipment and materials; damage to contractor or another subcontractor; reasonable evidence that subcontract cannot be completed for the unpaid balance of the subcontract sum; or a reasonable amount for retainage not to exceed the initial percentage retained by NCORR.

ARTICLE 10 - CHANGES IN THE WORK

- a. NCORR may make changes to the work covered by the contract. These changes will not invalidate any portion of the contract and will not relieve or release Contractor from any guarantee given by it pertinent to the contract provisions. These changes will not affect the validity of the guarantee bond and will not relieve the surety or sureties of said bond. All extra work shall be executed under conditions of the original contract.
- b. Except in an emergency endangering life or property, no change shall be made by Contractor except upon receipt of an approved change order or written field order from NCORR authorizing such change. No claim for adjustments of the contract price shall be valid unless this procedure is followed.

A field order, transmitted by fax, electronically, or hand delivered, may be used where the change involved impacts the critical path of the work. A formal change order shall be issued as expeditiously as possible.

In the event of an emergency endangering life or property, Contractor may be directed to proceed on a time and materials basis, whereupon Contractor shall proceed and keep accurately on such form as specified by NCORR, a correct account of costs, together with all proper invoices, payrolls and supporting data. Upon completion of the work, the change order will be prepared as outlined under either Method "c(1)" or Method "c(2)" or both.

- c. In determining the values of changes, either additive or deductive, Contract shall be based on the final Bid Pricing Book except for in unusual circumstances.
- d. Should below grade concealed conditions be encountered in the performance of the work, or should concealed or unknown conditions in an existing structure be at variance with the conditions indicated by the contract documents, the contract sum and time for completion may be equitably adjusted by change order upon claim by either party made within thirty (30) days after the condition has been identified. All change orders shall be supported by a unit cost breakdown showing method of arriving at net cost as defined above.
- e. In all change orders, Contractor will provide such proposal and supporting data in suitable written format. NCORR shall verify correctness. Delay in the processing of the change order due to lack of proper submittal by Contractor of all required supporting data shall not constitute grounds for a time extension or basis of a claim. Within fourteen (14) days after receipt of Contractor's accepted proposal, including all supporting documentation required by NCORR, NCORR shall prepare the change order and forward to Contractor for his signature or otherwise respond, in writing, to Contractor's proposal. Within seven (7) days after receipt of the change order executed by Contractor, NCORR's representative shall certify the change order by his or her signature, and forward the change order and all supporting data to NCORR for its review of CDBG-DR, HRP and/or grant eligibility compliance and, if compliant, NCORR will sign the change order and the revised scope of work may proceed. If the change order is denied, then Contractor shall not proceed with the work. In case of emergency or extenuating circumstances, approval of changes may be obtained verbally by telephone or via field orders approved by all parties, and then shall be substantiated in writing as outlined under normal procedure.
- f. A change order, when issued, shall include full compensation, or credit, for the work included, omitted or substituted. It shall show on its face the adjustment in time for completion of the project as a result of the change in the work.
- g. Contractor understands and acknowledges that any and all change orders made subsequent to this Grant Agreement shall be incorporated herein by reference into this original Grant Agreement. Subsequent Change Orders shall then represent the entire Grant Agreement between the parties for the new construction of their damaged home under the Program.

ARTICLE 11 - TIME OF COMPLETION, DELAYS, EXTENSION OF TIME

- a. Contractor shall commence work to be performed under this agreement on a date to be specified in a written Notice to Proceed from NCORR and shall fully complete all work hereunder within the time of completion stated in the Contract. Time is of the essence and Contractor acknowledges NCORR will likely suffer financial damage for failure to complete the work within the time of completion. For each day in excess of the above number of days, the sum of \$250.00 per day shall be deducted from Contractor's next draw request as liquidated damages reasonably estimated in advance to cover the losses incurred by NCORR by reason of failure of said Contractor to complete the work within the time specified, such time being in the essence of this contract and a material consideration thereof. If Contractor disputes the calculation of liquidated damages, then NCORR may recover actual damages.
- b. If Contractor is delayed at any time in the progress of his work solely by: any act or negligence of Owner or NCORR; by changes ordered in the work; by labor disputes at the project site; by abnormal weather conditions not reasonably anticipated for the locality where the work is performed; by unavoidable casualties; by any causes beyond Contractor's control; or by any other causes which NCORR determine may justify the delay, then the contract time may be extended by change order only for the time which NCORR may determine is reasonable.

Time extensions will not be granted for rain, wind, snow or other natural phenomena of normal intensity for the locality where work is performed. For purposes of determining extent of delay attributable to unusual

weather phenomena, a determination shall be made by comparing the weather for the contract period involved with the average of the preceding five (5) year climatic range during the same time interval based on the National Oceanic and Atmospheric Administration National Weather Service statistics for the locality where work is performed and on daily weather logs kept on the job site by Contractor reflecting the effect of the weather on progress of the work and initialed by the NCORR's representative. No weather delays shall be considered after the building is dried-in unless work claimed to be delayed is on the critical path of the baseline schedule or approved updated schedule. Time extensions for weather delays, acts of God, labor disputes, fire, delays in transportation, unavoidable casualties or other delays which are beyond the control of NCORR do not entitle Contractor to compensable damages for delays. Any Contractor claim for compensable damages for delays is limited to delays caused solely by Owner or its agents. Contractor caused delays shall be accounted for before Owner or NCORR caused delays in the case of concurrent delays.

- c. Requests for extensions of time shall be made in writing to NCORR, with copies provided to NCORR, within fifteen (15) days following cause of delay. The request must contain a brief description of: the event or situation that caused the delay; how the event and situation impacted critical work needed to complete the Project on time as such work is reflected in the schedule or reasonably inferred from the progress of construction; a statement of Contractor's inability to perform other work to mitigate the impact of the event or situation causing delay; a statement that Contractor (including employees, subcontractors, or suppliers) were not the cause of the event or situation; and such other additional work and costs incurred by Contractor resulting from the event or situation delaying Contractor's work. **Contractor understands that the failure to provide a timely request with the requested details and cost information shall result in rejection of the request absent a reasonable and substantiated explanation for the lack of timeliness which was caused by events, situations or persons outside Contractor's control. Contractor further understands that the payments made under this Contract are from a federal grant administered by a State agency and, as a result, Contractor understands that any requests for time extensions are subject to the State and federal False Claims Acts and auditing requirements.**
- d. If a performance or payment bond has been provided by Contractor for this Project, then Contractor shall notify its surety in writing of any extension of time that is granted by NCORR.

ARTICLE 12 – APPLICATIONS FOR PAYMENT

- a. Contractor shall submit to NCORR a request for payment for work done on a schedule agreed upon by Contractor and NCORR. The request shall be in the form agreed upon between Contractor and NCORR, but shall show substantially the value of work done and materials delivered to the site during the period since the last payment, and shall sum up the financial status of the contract with the following information:
 - 1. Total value of contract including change orders.
 - 2. Value of work completed to date.
 - 3. Less five percent (5%) retainage.
 - 4. Less previous payments.
 - 5. Current amount due.
- b. Contractor, upon request of NCORR, shall substantiate the request with invoices of vouchers or payrolls or other evidence including compliance with federal prevailing wage laws.
- c. Prior to submitting the first request, Contractor shall prepare for NCORR a schedule of values (SOV) showing a breakdown of the contract price into values of the various parts of the work, so arranged as to facilitate payments to Contractor and subcontractors. Contractor shall list the value of each subcontractor and supplier, identifying each minority business subcontractor and supplier.
- d. NCORR will withhold retainage up to the statutory amount of five percent (5%) to ensure a prequalified contractor's completion of the project and/or to resolve any disputes with NCORR or subcontractors.

ARTICLE 13 - CERTIFICATES OF PAYMENT AND FINAL PAYMENT

- a. Within five (5) days from receipt of request for payment from Contractor (or other date set by Contractor and NCORR), Contractor shall issue and forward to NCORR a certificate for payment. This certificate shall indicate the amount requested by Contractor. If the certificate is not approved by NCORR, NCORR shall state in writing to Contractor and NCORR his reasons for withholding payment.
- b. No certificate issued or payment made shall constitute an acceptance of the work or any part thereof until issuance of a certificate of occupancy issued by AHJ and NCORR closeout the contract (warranties and guarantees shall remain in effect after contract closeout). The making and acceptance of final payment shall constitute a waiver of all claims by Contractor against Owner or NCORR except:
 - 1. Claims arising from unsettled liens or claims against Contractor.
 - 2. Faulty work or materials appearing after final payment.
 - 3. Failure of Contractor to perform the work in accordance with drawings and specifications, such failure appearing after payment.
 - 4. As conditioned in any payment bond.
- e. Contractor shall forward to NCORR the final application for payment along with the following documents:
 - 1. List of minority business subcontractors and material suppliers showing breakdown of contract amounts and total actual payments to subs and material suppliers.
 - 2. Affidavit of Release of Liens.
 - 3. Affidavit of Contractor of payment to material suppliers and subcontractors.
 - 4. Consent of Surety to Final Payment.
 - 5. Certificates of state agencies required by state law.
 - 6. If applicable, Asbestos Manifest from a permitted disposal facility.
 - 7. Warranty certification by homeowner.
 - 8. Homeowner Acceptance of Work.

ARTICLE 14 - PAYMENTS WITHHELD

- a. NCORR may withhold payment for the following reasons:
 - 1. Faulty work not corrected.
 - 2. The unpaid balance on the contract is insufficient to complete the work in the judgment of the designer and/or NCORR.
 - 3. To provide for sufficient contract balance to cover liquidated damages that will be assessed.
 - 4. Payment documentation has not been submitted by the contractor or is unacceptable.
 - 5. Non-compliance with contractual requirements.
- b. When grounds for withholding payments have been removed, payment will be released.

ARTICLE 15 - RESERVED

ARTICLE 16 - PAYMENT & PERFORMANCE BONDS

- a. NCORR will require Contractor to furnish a payment bond executed by a surety company authorized to do business in North Carolina. The bond shall be in the full contract amount. The bond shall be executed in the form as required by NCORR. Payments bonds will be required for major new construction projects.
- b. All bonds shall be countersigned by an authorized agent of the bonding company who is licensed to do business in North Carolina.
- c. **Performance Bond**—NCORR will require Contractor to furnish a performance bond executed by a surety company authorized to do business in North Carolina. The performance bond shall be in the full contract amount. Contractor shall submit a sample performance bond and sample power of attorney to NCORR for review and approval.

ARTICLE 17 - CONTRACTOR'S AFFIDAVIT

The final payment of retained amount due Contractor on account of the contract shall not become due until Contractor has furnished to NCORR an affidavit signed, sworn and notarized to the effect that all payments for materials, services or subcontracted work in connection with his contract have been satisfied, and that no claims or liens exist against Contractor in connection with this contract. In the event that Contractor cannot obtain similar affidavits from subcontractors to protect Contractor and Owner from possible liens or claims against the subcontractor, Contractor shall state in his affidavit that no claims or liens exist against any subcontractor to the best of Contractor's knowledge, and if any appear afterward, Contractor shall hold NCORR and Owner harmless.

ARTICLE 18 - USE OF PREMISES

- a. Contractor shall confine its equipment, the storage of materials and the operations of its workmen to limits indicated by law, ordinances, permits or directions of AHJ and NCORR and shall not exceed those established limits in his operations.
- b. Contractor shall not load or permit any part of the structure to be loaded with a weight that will endanger its safety.
- c. Contractor shall enforce NCORR's instructions regarding signs, advertisements, fires and smoking.
- d. No firearms, any type of alcoholic beverages, or drugs (other than those prescribed by a physician) will be permitted at the job site.

ARTICLE 19 - CUTTING, PATCHING AND DIGGING

- a. Contractor shall do all cutting, fitting or patching of his work that may be required to make its several parts come together properly and fit it to receive or be received by work of other subcontractors shown upon or reasonably implied by the drawings and specifications for the completed structure, as the designer or NCORR may direct.
- b. Any cost brought about by defective or ill-timed work shall be borne by the party responsible therefore.
- c. Contractor should coordinate the work of the subcontractors to avoid unnecessary cutting, fitting or patching so that Contractor avoids damaging the work of its subcontractors, and subcontractors avoid damaging the work of Contractor and/or other subcontractors.

ARTICLE 20 - UTILITIES, STRUCTURES, SIGNS

- a. If the house is unoccupied, Contractor shall provide necessary and adequate facilities for water, electricity, gas, oil, sewer and other utility services which may be necessary and required for completion of the project, including all utilities required for testing, cleaning, balancing, and sterilization of designated plumbing, mechanical and electrical systems. Any permanent meters installed shall be listed in Contractor's name until work has a final acceptance. Contractor shall contact all affected utility companies prior to bid to determine their requirements to provide temporary and permanent service and include all costs associated with providing those services in their bid. Coordination of the work of the utility companies during construction is the sole

responsibility of Contractor. If occupied NCORR, Contractor and Owner must reach an agreement as to apportionment of utilities, which must be included in the contract before construction begins.

- b. Meters shall be relisted in Owner's name on the day following final acceptance, and Owner shall pay for services used after that date.

ARTICLE 21 - CLEANING UP

- a. Contractor shall keep the building and surrounding area reasonably free from rubbish at all times, and shall remove debris from the site on a timely basis or when directed to do so by NCORR. Contractor shall provide an onsite refuse container(s) for the use of all contractors. Contractor shall remove rubbish and debris from the building on a daily basis. Contractor shall broom clean the building as required to minimize dust and dirt accumulation.
- b. Contractor shall provide and maintain suitable all-weather access to the building.
- c. Before final inspection and acceptance of the building, Contractor shall clean the work area, including glass, hardware, fixtures, masonry, tile and marble (using no acid), clean and wax all floors as specified, and completely prepare the building for use by Owner, with no cleaning required by Owner.

ARTICLE 22 - GUARANTEE

- a. Contractor shall unconditionally guarantee materials and workmanship against patent defects arising from faulty materials, faulty workmanship or negligence for a period of twelve (12) months following the date of final acceptance of the work or beneficial occupancy and shall replace such defective materials or workmanship without cost to Owner. Contractor shall provide a ten (10) year warranty for all structural work performed under the Contract.
- b. Where items of equipment or material carry a manufacturer's warranty for any period in excess of twelve (12) months, then the manufacturer's warranty shall apply for that particular piece of equipment or material. Contractor shall replace such defective equipment or materials, without cost to Owner, within the manufacturer's warranty period.
- c. Additionally, Owner may bring an action for latent defects caused by the negligence of Contractor which are hidden or not readily apparent to Owner or NCORR at the time of final acceptance, whichever occurred first, in accordance with applicable law.
- d. Guarantees for roof, equipment, materials, and supplies shall be stipulated in the specifications sections governing such roof, equipment, materials, or supplies. Contractor shall also provide all instruction manuals stipulated in the specification sections and/or that were furnished to Contractor from the manufacturer (e.g., hot water heaters, HVAC units, electrical equipment or fixtures, plumbing equipment and fixtures, appliances, etc.).

ARTICLE 23 – WOMEN AND MINORITY BUSINESS PARTICIPATION

2 C.F.R. § 200.321 requires that all necessary affirmative steps are taken to assure that minority and women's businesses are used when possible, and N.C. Gen. Stat. 143-128.2 establishes a ten percent (10%) goal for participation by minority and women owned businesses in total value of work for the HRP. The document, *Guidelines for Recruitment and Selection of Minority Businesses for Participation in State Construction Contracts*, including Affidavits, is found on the N.C. State Construction Office website.

ARTICLE 24 – CONTRACTOR EVALUATION

Contractor's overall work performance on each assigned or awarded HRP project/contract shall be fairly evaluated in accordance with the policy and procedures for determining continued prequalification for future work in the HRP. Contractor Evaluation Procedures are hereby incorporated and made a part of Contractor's approval of its application for prequalification. NCORR will evaluate Contractor's performance.

ARTICLE 25 – GIFTS

Pursuant to N.C. Gen. Stat. § 133-32, it is unlawful for any vendor or contractor (i.e. architect, bidder, contractor, construction manager, design professional, engineer, subcontractor, supplier, vendor, etc.) to make gifts or to give favors to any State employee. This prohibition covers those vendors and contractors who: (1) have a contract with a governmental agency; or (2) have performed under such a contract within the past year; or (3) anticipate bidding on such a contract in the future. For additional information regarding the specific requirements and exemptions, vendors and contractors are encouraged to review G.S. Sec. 133-32.

During the construction of the Project, Contractor and subcontractors are prohibited from making gifts to any employees of NCORR and/or any other State employee from any other State Agency that may have any involvement, influence, responsibilities, oversight, management and/or duties that pertain to and/or relate to the contract administration, financial administration and/or disposition of claims arising from and/or relating to the Contract and/or Project. In addition, Contractors are prohibited from making gifts to the Owner at any time. Contractors in violation of this provision of the agreement will be removed from participation in the program and reported to the HUD Office of Inspector General for investigation.

ARTICLE 26 – AUDITING-ACCESS TO PERSONS AND RECORDS

In accordance with 2 C.F.R. § 200.501 and N.C. Gen. Stat. §147-64.7, the Office of the Inspector General (OIG), State Auditor, NCORR or other applicable state agency internal auditors, or HUD shall have access to NCORR's and Contractor's officers, employees, agents and/or other persons in control of and/or responsible for Contractor's records that relate to this Contract for purposes of conducting audits under the referenced statute. HUD and NCORR's internal auditors shall also have the right to access and copy NCORR's and Contractor's records relating to the Contract and Project during the term of the Contract and within five years following the completion of the Project/close-out of the Contract to verify accounts, accuracy, information, calculations and/or data affecting and/or relating to NCORR's and Contractor's requests for payment, requests for change orders, change orders, claims for extra work, requests for time extensions and related claims for delay/extended general conditions costs, claims for lost productivity, claims for loss efficiency, claims for idle equipment or labor, claims for price/cost escalation, pass-through claims of subcontractors and/or suppliers, and/or any other type of claim for payment or damages from NCORR and/or Owner.

ARTICLE 27 – NORTH CAROLINA FALSE CLAIMS ACT

The False Claims Act (31 U.S. Code § 3729) and the North Carolina False Claims Act ("NCFCA"), N.C Gen. Stat. § 1-605 through 1-618, apply to this Contract. Contractor should familiarize itself with the False Claims Act and the NCFCA and should seek the assistance of an attorney if it has any questions regarding the NCFCA and its applicability to any requests, demands and/or claims for payment it submits to the State through the contracting state agency, institution, university or community college.

The purpose of the NCFCA "is to deter persons from knowingly causing or assisting in causing the State to pay claims that are false or fraudulent and to provide remedies in the form of treble damages and civil penalties when money is obtained from the State by reason of a false or fraudulent claim." (Section 1-605(b).) Contractor's liability under the NCFCA may arise from, but is not limited to: requests for payment, invoices, billing, claims for extra work, requests for change orders, requests for time extensions, claims for delay damages/extended general conditions costs, claims for loss productivity, claims for loss efficiencies, claims for idle equipment or labor, claims for price/cost escalation, pass-through claims of subcontractors and/or suppliers, documentation used to support any of the foregoing requests or claims, and/or any other request for payment from the State through the contracting state agency, institution, university or community college.

ATTACHMENT E: HISTORICALLY UNDERUTILIZED BUSINESSES (HUB)



ATTACHMENT E: HISTORICALLY UNDERUTILIZED BUSINESSES (HUB)

Historically Underutilized Businesses (HUBs) consist of minority, women and disabled business firms that are at least fifty-one percent owned and operated by an individual(s) of the categories. Also included in this category are disabled business enterprises and non-profit work centers for the blind and severely disabled.

Pursuant to G.S. 143B-1361(a), 143-48 and 143-128.4, the State invites and encourages participation in this procurement process by businesses owned by minorities, women, disabled, disabled business enterprises and non-profit work centers for the blind and severely disabled. This includes utilizing subcontractors to perform the required functions in this IFB. Any questions concerning NC HUB certification, contact the [North Carolina Office of Historically Underutilized Businesses](#) at (919) 807-2330. The Vendor shall respond to the below.

a) **Is Vendor Certified with North Carolina as a Historically Underutilized Business?** Yes No

If so, indicate HUB classification:

- B** (Black) **HA** (Hispanic) **AA** (Asian American) **AI** (American Indian) **W** (Female)
 D (Disabled) **SED** (Socially Economically Disadvantaged)

The above are the NC HUB Office classifications. To view NC HUB classification, <http://www.doa.nc.gov/hub/swuc.htm>

b) **If Vendor is Not Certified with North Carolina as a Historically Underutilized Business indicate the below.**

- Applied for North Carolina HUB certification; application pending.
 Not a HUB vendor.

ATTACHMENT F: LOCATION OF WORKERS UTILIZED BY VENDOR



ATTACHMENT F: LOCATION OF WORKERS UTILIZED BY VENDOR

In accordance with NC General Statute 143-59.4, the Vendor shall detail the location(s) at which performance will occur, as well as the manner in which it intends to utilize resources or workers outside of the United States in the performance of The Contract. The State will evaluate the additional risks, costs, and other factors associated with such utilization prior to making an award. Vendor shall complete items a) and b) below.

a) **Will any work under this Contract be performed outside the United States?** YES NO

If the Vendor answered "YES" above, Vendor shall complete items 1 and 2 below:

- 1. List the location(s) outside the United States where work under The Contract will be performed by the Vendor, any sub-Contractors, employees, or other persons performing work under the Contract:

N/A

- 2. Describe the corporate structure and location of corporate employees and activities of the Vendor, its affiliates or any other sub-Contractors that will perform work outside the U.S.:

N/A

b) **Vendor shall Identify all U.S. locations at which performance will occur:**

North Carolina

Vendor shall provide notice, in writing to the State, of the relocation of the Vendor, employees of the Vendor, sub-Contractors of the Vendor, or other persons performing Services under the Contract to a location outside of the United States.

NOTE: All Vendor or sub-Contractor personnel providing call or contact center Services to the State of North Carolina under the Contract **shall disclose** to inbound callers the location from which the call or contact center Services are being provided.

ATTACHMENT G: GENERAL CONTRACTOR (GC) QUESTIONNAIRE



ATTACHMENT G: GENERAL CONTRACTOR (GC) QUESTIONNAIRE

ORGANIZATION:

1. List all other names your firm has operated as for the past three (3) years:

N/A

LICENSE:

2. Provide your General Contractor license for North Carolina as well as any additional North Carolina professional licenses required for you to perform the services in this IFB.

NC License Number / Name of License	License Limit / Level	State/County/City Privilege License
No. 77881/DSW Homes LLC	Unlimited	North Carolina/Raleigh/Wake County

Attach additional sheet with all license information, if needed.

Attach a copy of all current active license to your IFB submission.

3. Has any license ever been denied or revoked? YES NO

If YES, describe/provide an explanation:

GENERAL:

4. Has your company (including under previous names), its officers, owners, or agents ever been convicted of charges relating to conflicts of interest, bribery, or bid-rigging? YES NO

If YES, describe/provide an explanation:

5. Has your company (including under previous names), its officers, owners, or agents ever been barred from being awarded public work in North Carolina? YES NO

If YES, describe/provide an explanation:

ATTACHMENT H: HUB UTILIZATION PLAN



ATTACHMENT H: HUB UTILIZATION PLAN

North Carolina statute requires a 10% goal for HUB participation. This form should contain a detailed description of the supplies and/or services to be provided by each North Carolina certified HUB vendor under this Contract. Attach additional sheets if necessary.

The percentage should be determined by adding all “Anticipated Dollar Value of Subcontracts” from this form and dividing it by the total bid amount.

If the 10% HUB goal is not met, then documentation MUST be attached which explains the good faith efforts made to reach the 10% HUB goal (not rounded). NCORR will evaluate the good faith efforts and, in its sole discretion, will determine if the efforts made are sufficient. If the goal is not met and sufficient good faith efforts (as determined solely by NCORR) are not made then the bid will be deemed non-responsive.

North Carolina HUB certified vendors are located at [Search for Vendor Information \(state.nc.us\)](http://www.ips.state.nc.us/vendor/searchvendor.aspx?t=h) or <https://www.ips.state.nc.us/vendor/searchvendor.aspx?t=h>. Vendors should confirm the subcontracts listed in the below form are North Carolina certified prior to completing the below form.

Complete the following form.

North Carolina HUB <i>Certified</i> Supplier / Subcontractor (Name, Address, and Phone Number)	Detailed <u>Description</u> of HUB Suppliers/Services to be Provided under this IFB	<i>Anticipated</i> Dollar Value of HUB Suppliers/Subcontracts
A + TREE AND CRANE SERVICES INC. PO Box 10486, RALEIGH, NC 27605 919-854-0020	Clearing, tree removal/trimming	5-10%
Total Anticipated Value of HUB Suppliers/Subcontracts		\$ TBD
Total Amount Bid for this IFB		\$ TBD
Percent (%) of HUB Participation		5-10 %

ATTACHMENT I: SECTION 3 UTILIZATION



ATTACHMENT I: SECTION 3 UTILIZATION

This form should contain a detailed description of the supplies and/or services to be provided by each certified Section 3 Business under this Contract. Attach additional sheets if necessary.

At least one (1) subcontract MUST be listed.

Section 3 vendors can be found at <https://hudapps.hud.gov/OpportunityPortal/>

Complete the following form.

<i>Certified</i> Section 3 Supplier / Subcontractor (Name, Address, and Phone Number)	Detailed Description of Section 3 Suppliers / Services to be Provided under this IFB	<i>Anticipated</i> Dollar Value of Section 3 Suppliers / Subcontracts
Abatmaster Services, LLC 6022 Old US Highway 52, Lexington, NC (336) 731-4396	Demolition	2%

GOOD FAITH EFFORT

Statement of Intent:

DSW is committed to hiring more MBE, WBE, DBE, HUD, HUB and Section 3 subcontractors. We're currently vetting teams of subcontractors working throughout North Carolina from past programs, many with MWSBE and Section 3 certifications.

To increase MBE, WBE, DBE contractor work DSW intends to:

- Subdivide work
- Use the MBE, WBE, DBE Directory to find firms
- Directly solicit to MBE, WBE, DBEs in a timely manner
- Provide relevant bidding/contract information to MWSBE Firms
- Advertise opportunities
- Aid MBE, WBE, DBEs
- Go back to MBE, WBE, DBE subcontractors we've done business within the past
- Review CIP in advance and discuss projects and opportunities with MBE, WBE, DBEs

DSW Homes' Historically Underutilized Business (HUB) Plan consists of the following measures:

- Divide contract work into reasonable portions to extent consistent with prudent industry practices.
- Notify HUBs of subcontracting opportunities that the respondent intends to subcontract in writing. Notice shall include the following: a. Scope of work b. Specifications c. Identify a contact person with phone number and email.
- DSW will utilize the HUB Directory, Internet resources, and/or other directories as identified by the agency when searching for HUB subcontractors.
- Encourage selected non-certified minority or woman owned business subcontractors to apply for HUB certification

DSW will continue to adhere to the requirements put forth by the NCORR program regarding MWSBE and Section 3 compliance.

ATTACHMENT J: SAM.GOV REGISTRATION



ATTACHMENT J: SAM.GOV REGISTRATION

Vendor MUST register with SAM, <https://sam.gov>.

1. Does your firm have an “**ACTIVE**” SAM registration? YES NO

Execution Page, page 1 of this document, indicates to provide the SAM.gov Unique Entity ID.

2. If your firm does **NOT** currently have an “**ACTIVE**” SAM registration indicate:

- SAM registration has been **completed**; pending SAM approval.
- My firm has **not completed** SAM registration but will do so within 2 calendar days.
- My firm has **not completed** SAM registration and **will not register** with SAM.

SAM REGISTRATION:

Go to <https://sam.gov>. When registering select “*Register for All Awards*”.

For assistance with SAM registration contact **The Federal Service Desk at 866-606-8220**.

Video on **How to Register for SAM**, [Entity Registration - Core Data – YouTube](#)

PROOF OF SAM.GOV



Entity Information

DSW HOMES LLC ● Active Registration

Unique Entity ID
DLSDMD8LA7N9

Your registration was activated on 2022-06-24. It expires on 2023-06-07 which is one year after you submitted it for processing.

COMPANY PROFILE

Legal Name of Company	DSW Homes, LLC	
Address of firm's principal office	Headquarters: 1650 E Winding Way Dr., Bldg B, Friendswood, Texas 77546	
Additional office locations	Beaumont, TX Harlingen, TX Hatillo, Puerto Rico Houston, TX Jacksonville, FL Johnsonville, SC Miami, FL Orlando, FL	Panama City Beach, FL Lakeland, FL La Place, LA New Bern, NC Paradise, CA Rockport, TX San Juan, Puerto Rico
Number of Years in Business	12 years; established November 17, 2009	
Type of Operation	Limited Liability Company (LLC), Texas	
Number of Employees	DSW currently has 92 total employees; DSW Homes is willing and able to hire any additional resources needed to fulfill contract obligations	
Annual Sales Volume	Annual revenue \$113,600,000.00	
Licensed for Business	Alabama, California, Florida, Georgia, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, Texas	
Principal Owners	James Schumer 88%, Donald Gerratt 12%	
FEIN	[REDACTED]	
DUNS	010-404-807	
SAM.gov	UEI: DLSDMD8LA7N9	CAGE: 6UM90

COMPANY PROFILE

DSW was established in November 2009 and has worked in the residential disaster recovery industry since its inception twelve years ago. Some of our main function include, residential rehabilitation, reconstruction, replacement and demolition. From emergency repairs to extensive rehabilitation or reconstruction, DSW has worked a variety of roles in the disaster recovery industry.

Our projects include disaster recovery from Hurricanes Ike, Rita, Dolly, Matthew, Maria, Sandy, Florence, Michael, Irma, Harvey, Ida as well as recovery from extensive flooding in Minot, North Dakota and Cook County, Illinois. We have also participated in programs designed to help promote accessibility within homes. With this wide range of experience, DSW is aptly qualified to tackle any and all construction projects.

To date, DSW has successfully completed over 13,000 residential renovation, elevation, emergency repair, MHU and new construction projects totaling nearly \$1 Billion in federally-funded projects in our past and current contracts. The company has been involved in over 45 federally-funded grant programs with FEMA and Community Development Block Grants— Disaster Recovery (CDBG-DR).

Based on our experience in the industry, DSW is prepared to provide the requested services and we are confident that our approach and methodology will generate program success.

Through our extensive experience in similar programs, our project management methods have been refined again and again. We are familiar with federally-funded reporting and project controls and have consistently delivered quality repairs.

Having participated in many programs, we are familiar with tackling challenging rehabilitation and renovation situations. Our team of experienced personnel works together to find solutions to a variety of problems. Our personnel have participated in programs that have very similar scopes of work.



\$1,000,000,000.00
Revenue of Federally Funded
Projects

\$500,000,000.00
Bonding Capacity

SYNERGY. SYSTEMS. SOLUTIONS.

EXPERIENCE

Name of Project	Units	Contract Amount	Performance History
*Texas Housing Assistance Program (THAP) Reconstruction & Renovation	422	\$29,383,091.40	Dec 2009 - Dec 2010
*City of Port Arthur, TX Housing Authority DR Reconstruction & Rehabilitation	8	\$775,946.90	Jul 2010 - Apr 2012
*Savannah, GA Housing Corporation Reconstruction	11	\$371,026.04	Aug 2010 - Oct 2010
*City of Port Arthur, TX Housing Authority DR Reconstruction	5	\$499,787.00	Nov 2010 - Jan 2012
*Galveston County, TX Housing Assistance (GHAP) Round 1 Reconstruction & Renovation/Restoration	139	\$14,737,157.90	Feb 2011 - Jun 2013
*City of Galveston, TX Disaster Recovery Round 1 Reconstruction & Renovation	102	\$8,896,975.09	Feb 2011 - Jun 2013
SETX Regional Planning Commission Round 1 Reconstruction & Rehabilitation	174	\$19,610,910.33	Jul 2011 - Oct 2012
*City of Houston, TX Disaster Recovery - Round 1 Reconstruction & Rehabilitation	24	\$936,769.00	Jul 2011 - Jul 2012
*Liberty County, TX Disaster Recovery Reconstruction	4	\$981,946.25	Jan 2012 - Oct 2012
*Chamber County, TX Disaster Recovery Round 1 Reconstruction	21	\$1,689,354.61	Jan 2012 - Oct 2012
*Chamber County, TX Disaster Recovery Round 1.2 Reconstruction	77	\$9,323,250.00	Oct 2012 - Oct 2014
*Lower Rio Grande Valley, TX Disaster Recovery Reconstruction	40	\$4,122,203.40	Jan 2013 - Nov 2014
New York City Rapid Repairs Program Rehabilitation	466	\$18,900,000.00	Jan 2013 - Mar 2013
Illinois Disaster Rapid Repairs Program Rehabilitation	59	\$4,135,178.10	Apr 2013 - Mar 2014
Minot, ND Small Rental DR Round 1 Reconstruction & Rehabilitation	122	\$12,000,000.00	Apr 2013 - Oct 2015
*New Jersey REEM Program Reconstruction, Rehabilitation, Elevation and Mitigation (RREM)	535	\$99,491,259.00	Dec 2013 - Dec 2017

Name of Project	Units	Contract Amount	Performance History
*Chamber County, TX Disaster Recovery Round 2 Reconstruction	162	\$17,820,000.00	Jan 2014 - Oct 2015
Minot, ND Small Rental DR Round 2 Reconstruction & Rehabilitation	40	\$4,000,000.00	Mar 2014 - Oct 2015
*City of Galveston, TX Disaster Recovery Round 2.2 Reconstruction & Rehabilitation	74	\$12,000,000.00	Mar 2014 - Jun 2016
*Deep East Texas Council of Governments (DETCOG) Regional Housing Authority Reconstruction	50	\$71,500,000.00	Mar 2014 - Dec 2016
Union Beach, NJ Modular Program	6	\$611,013.00	Apr 2014 - Oct 2015
*City of Houston, TX Disaster Recovery - Round 2 Reconstruction & Rehabilitation	29	\$39,000,000.00	Jan 2015 - Jan 2016
Southeast Texas (SETX) Regional Planning Commission Round 1 Demolition	323	\$3,100,000.00	Apr 2015 - May 2016
*Harris County Disaster Recovery Reconstruction	30	\$4,200,200.00	Sept 2015 - Jun 2016
*City of Houston, TX Build It Back Program Elevation & Rehabilitation	175	\$13,000,000.00	Sept 2015 - Jan 2021
New York Rising MHU Homeowner Housing Program	10	\$1,200,000.00	Dec 2015 - May 2016
Louisiana Shelter at Home Program Rapid Repair	1584	\$2,033,726.93	Sept 2016 - Dec 2016
*Galveston County, TX Housing Assistance (GHAP) Round 2 Reconstruction & Renovation/Restoration	125	\$16,250,000.00	Oct 2016 - Jan 2017
Galveston, TX Joint Venture Disaster Recovery Rehabilitation & Rapid Repair	1433	\$15,245,706.40	Dec 2017 - Jun 2018
*Direct Assistance for Limited Home Repair (DALHR) General Texas Land Office (GLO) Program Rehabilitation & Rapid Repair	48	\$155,706.40	Dec 2017 - Dec 2021
*Direct Assistance for Limited Home Repair (DALHR) Galveston, TX Program Joint Venture Rehabilitation & Rapid Repair	62	\$2,400,000.00	Dec 2017 - June 2018
*South Carolina Disaster Recovery Office (SCDRO) Reconstruction & Rehabilitation	462+	\$27,965,523.82	Oct 2017 - present
Galveston, TX Housing Authority GHA Scattered Sites Program	72+	\$21,000,000.00	Jul 2017 - Present
Galveston, TX PREP Program Rehabilitation & Rapid Repair	1503	\$1,650,000.00	Jan 2018 - Jun 2018
Direct Assistance for Limited Home Repair (DALHR) Houston, TX Program Rehabilitation & Rapid Repair	56	\$988,842.45	Mar 2018 - Jul 2018

Name of Project	Units	Contract Amount	Performance History
Puerto Rico STEPS Program Rehabilitation & Rapid Repair	3724	\$36,900,000.00	Mar 2018 - Dec 2018
United States Virgin Islands Disaster Recovery Rehabilitation & Rapid Repair	163	\$14,000,000.00	Jan 2019 - Dec 2019
General Texas Land Office (GLO) Hurricane Harvey Disaster Recovery Reconstruction & Rehabilitation	681+	\$149,222,939.97	Jan 2019 - present
*City of Houston, TX Build It Forward Program Reconstruction & Rehabilitation	109+	\$21,543,230.26	May 2019 - present
*Houston Housing & Community Development (HCDD) Tier III Reconstruction & Rehabilitation	15+	\$2,000,000.00	May 2019 - present
*City of Houston, TX Build Hurricane Harvey GLO Reconstruction & Rehabilitation	100+	\$22,000,000.00	Nov 2020 - present
*Harris County, TX Hurricane Harvey GLO Reconstruction & Rehabilitation	21+	\$5,541,807.00	Jan 2021 - present
Rebuild Florida Program of the Florida Department of Economic Opportunity (DEO) Reconstruction & Rehabilitation	200+	\$55,000,000.00	Jan 2021 - present
*South Carolina Disaster Recovery Office (SCDRO) Reconstruction & Rehabilitation	20+	\$1,996,190.00	Jan 2021 - present
City of Columbia, SC St. Bernard Project (SBP) USA Reconstruction	10	\$2,413,858.00	May 2022 - Nov 2022



DSW has unparalleled institutional experience in residential government-funded projects.

13,000

Government-funded units completed!

45

Number of government programs DSW has participated in.

80+

Number of highly trained and valued DSW employees.

15

Record number of days it took DSW to demo and rebuild a home.

300+

Cumulative years experience of the DSW Management Team.

800

Million dollars of federally funded projects.

1,200

Average number of project completions per year.

Projects and Programs

DSW has completed over 13,000 residential renovation, elevation, emergency repair, MHU, and new construction projects totaling nearly \$1 billion in federally funded projects. DSW has been engaged in 45+ federally funded grant programs with FEMA and Community Development Block Grants-Disaster Recovery (CDBG-DR).

Harvey GLO Texas

UNITS:
400
CONTRACT:
\$80M
DATE:
2019-PRESENT



City of Houston Harvey GLO

UNITS:
100
CONTRACT:
\$22M
DATE:
2020-PRESENT



Rebuild Florida

UNITS:
75
CONTRACT:
\$5M
DATE:
2021-PRESENT



Louisiana Shelter at Home Program

UNITS:
1,584
CONTRACT:
\$20.4M
DATE:
2016-2016



South Carolina Disaster Recovery Program

UNITS:
450
CONTRACT:
\$25.5M
DATE:
2017-PRESENT



New York City Build it Back Program

UNITS:
175
CONTRACT:
\$138.0M
DATE:
2015-2019



City of Galveston Disaster Recovery

UNITS:
102
CONTRACT:
\$8.9M
DATE:
2011-2013



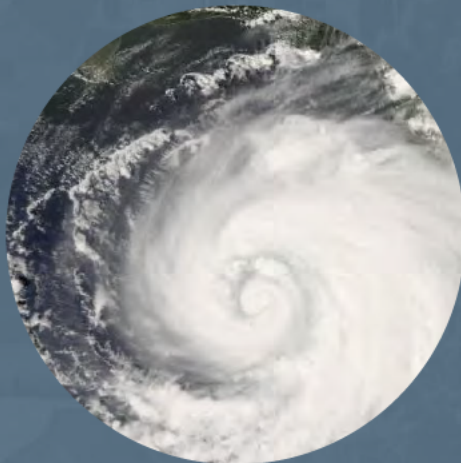
Chambers County Disaster Recovery Round 1.1

UNITS:
21
CONTRACT:
\$1.7M
DATE:
2012-2014



Deep East Texas Council of Governments Disaster Recovery Program Round 2

UNITS:
50
CONTRACT:
\$7.1M
DATE:
2014-2016



Southeast Texas Regional Planning Commission Round 1

UNITS:
174
CONTRACT:
\$19.6M
DATE:
2011-2012



City of Galveston Disaster Recovery Round 2.2

UNITS:
74
CONTRACT:
\$12.0M
DATE:
2014-2016



Chambers County Disaster Recovery Round 1.2

UNITS:
77
CONTRACT:
\$9.3M
DATE:
2012-2014



Texas Housing Assistance Program

UNITS:
422
CONTRACT:
\$29.3M
DATE:
2009-2010



Houston DALHR Program

UNITS:
56
CONTRACT:
\$989,000
DATE:
2018-2019



New Jersey Rehabilitation, Reconstruction, Elevation and Mitigation Program (RREM)

UNITS:
535
CONTRACT:
\$99.5M
DATE:
2013-2017



Illinois Disaster Recovery Program (IDRP)

UNITS:
59
CONTRACT:
\$4.1M
DATE:
2013-2014



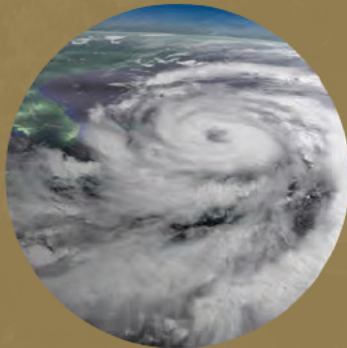
Puerto Rico STEP Program

UNITS:
3,724
CONTRACT:
\$36.9M
DATE:
2018-2019



Galveston County Housing Assistance Prg. Round 1

UNITS:
139
CONTRACT:
\$14.7M
DATE:
2011-2013



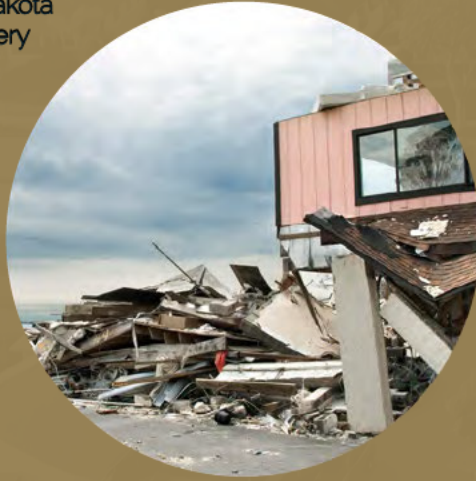
GLO DALHR Program

UNITS:
62
CONTRACT:
\$2.4M
DATE:
2017-2018



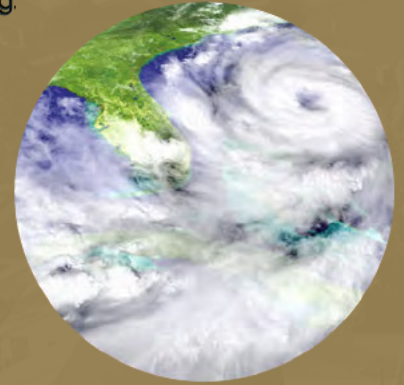
**Minot, North Dakota
Disaster Recovery**

UNITS:
6
CONTRACT:
\$661,000
DATE:
2014-2015



**Galveston County Housing
Assistance Prg.**

UNITS:
139
CONTRACT:
\$14.7M
DATE:
2011-2013



**Minot, North Dakota Small Rental
Property Rehabilitation and
Reconstruction Program**

UNITS:
40
CONTRACT:
\$4.0M
DATE:
2014-2015



Texas PREPS Program

UNITS:
1,503
CONTRACT:
\$16.5M
DATE:
2018-2018



**New York City Rapid
Repairs Program**

UNITS:
466
CONTRACT:
\$18.9M
DATE:
2013-2013



**Hurricane Dolly Disaster
Recovery Round 2.2**

UNITS:
40
CONTRACT:
\$4.1M
DATE:
2013-2014



LICENSE



License Year

2022

License No.

77881

North Carolina

Licensing Board for General Contractors

This is to Certify That:

DSW Homes, LLC

Galveston, TX

is duly registered and entitled to practice

General Contracting

Limitation: Unlimited

Classification: Building

until

December 31, 2022

when this Certificate expires.

Witness our hands and seal of the Board.

Dated, Raleigh, N.C.

January 01, 2022

This certificate may not be altered.



J. E. Rumspringa
Chairman

C. Frank Wiesner
Secretary-Treasurer

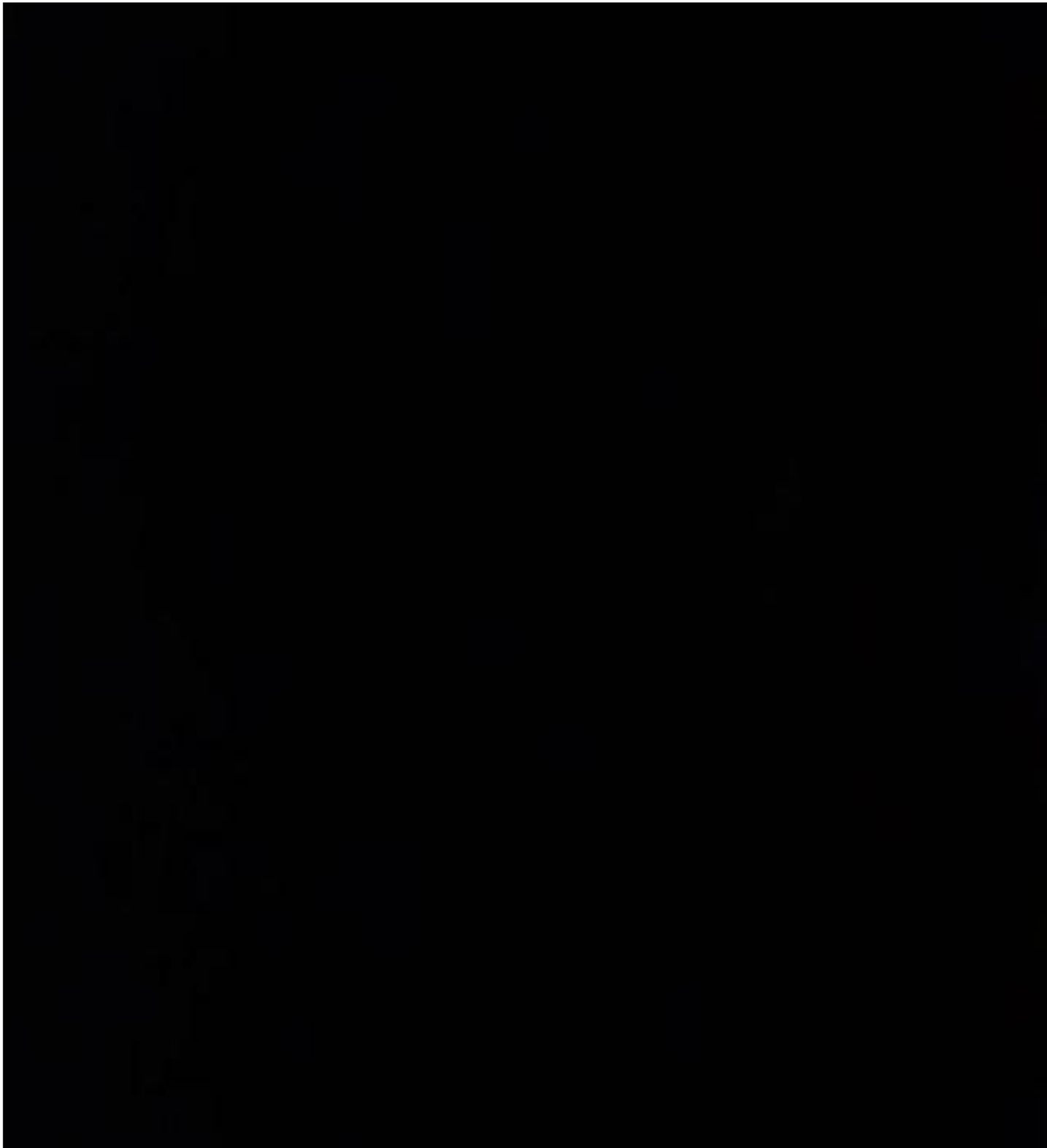
BONDING CAPACITY





Drew Kratzer
Travelers Bond
4650 Westway Park Blvd
Houston, TX 77041
(281) 606-8406
DKratzer@Travelers.com

October 25, 2022



CERTIFICATE OF INSURANCE





CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 10/20/2022

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement.

Table with 2 main columns: PRODUCER (McGinty-Gordon & Associates) and CONTACT INFORMATION (PHONE, FAX, E-MAIL ADDRESS). A secondary table lists INSURER(S) AFFORDING COVERAGE with columns for INSURER NAME and NAIC #.

COVERAGES CERTIFICATE NUMBER: REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES.

Main table with columns: INSR LTR, TYPE OF INSURANCE, ADDL INSD, SUBR WVD, POLICY NUMBER, POLICY EFF (MM/DD/YYYY), POLICY EXP (MM/DD/YYYY), LIMITS. Rows include Commercial General Liability, Automobile Liability, Umbrella Liability, Workers Compensation, and Builders Risk.

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required) BUILDERS RISK: NAMED STORM WIND DEDUCTIBLE \$100,000

CERTIFICATE HOLDER CANCELLATION

Table with 2 columns: CERTIFICATE HOLDER (State of North Carolina, DPS Purchasing & Logistics) and CANCELLATION (SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE signature).

SAFETY RECORD

DSW Homes, LLC, is dedicated to providing a workplace that does not have serious hazards and to protecting all persons from on-the-job injuries. DSW Homes, LLC, will take any and all precautions necessary for the health and safety of all site workers to ensure compliance with all relevant provisions of OSHA 29 CFR 1926 Construction Industry and 29 CFR 1910, General Industry, in addition to all standards or codes referred to in contract documents.

The below can be added as supplemental if the RFP review group thinks it should be included:

DSW Homes, LLC will ensure personnel are competent, adequately trained, and licensed and or certified in the operation of the equipment, skilled trades or other support positions to which they are assigned. All certifications and licenses required to perform the duties to which assigned, shall be current and subcontractors shall have established methods and procedures for the renewal and/or re-certification in the event of expiration.

FINANCIALS



DSW HOMES, LLC AND AFFILIATES

COMBINED FINANCIAL STATEMENTS

FOR THE

YEAR ENDED DECEMBER 31, 2021

AND

INDEPENDENT AUDITOR'S REPORTS



MELTON & MELTON, L.L.P.
CERTIFIED PUBLIC ACCOUNTANTS

DSW HOMES, LLC AND AFFILIATES

TABLE OF CONTENTS

	<u>Page</u>
Independent Auditor's Report	1
Combined Balance Sheet	3
Combined Statement of Operations and Members' Equity	4
Combined Statement of Cash Flows	5
Notes to Combined Financial Statements	7